# Mixing properties of some maps with countable Markov partitions

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To the memory of Kolya Chernov

### Abstract

In the previous works of the author and S.Newhouse ([9] and [10]) a class of piecewise smooth two-dimensional systems with countable Markov partitions was studied, and Bernoulli property was proved.

In this paper we consider 2-d maps F satisfying the same hyperbolicity and distortion conditions, and assume similar conditions for  $F^{-1}$ . We assume additionally that contraction of each map increases when points approach the boundary of its domain. For such systems we extend the results of [8], and prove exponential decay of correlations.

## **1** Statement of results

As in [9], [10] we consider the following 2-d model. Let Q be the unit square. Let ξ = {E<sub>1</sub>, E<sub>2</sub>,...,} be a countable collection of closed curvilinear rectangles in Q. Assume that each E<sub>i</sub> lies inside a domain of definition of a C<sup>2</sup> diffeomorphism f<sub>i</sub> which maps E<sub>i</sub> onto its image S<sub>i</sub> ⊂ Q. We assume each E<sub>i</sub> connects the top and the bottom of Q. Thus each E<sub>i</sub> is bounded from above and from below by two subintervals of the line segments {(x, y) : y = 1, 0 ≤ x ≤ 1} and {(x, y) : y = 0, 0 ≤ x ≤ 1}. Hyperbolicity conditions that we formulate below imply that the left and right boundaries of E<sub>i</sub> are graphs of smooth functions x<sup>(i)</sup>(y) with |dx<sup>(i)</sup>/dy| ≤ α where α is a real number satisfying 0 < α < 1.</li>

The images  $f_i(E_i) = S_i$  are narrow strips connecting the left and right sides of Q and that they are bounded on the left and right by the two subintervals of the line segments  $\{(x, y) : x = 0, 0 \le y \le 1\}$  and  $\{(x, y) : x = 1, 0 \le y \le 1\}$ and above and below by the graphs of smooth functions  $Y^i(X)$ ,  $\left|\frac{dY^{(i)}}{dX}\right| \le \alpha$ . We are saying that  $E'_i s$  are *full height* in Q while the  $S'_i s$  are *full width* in Q.

2. For  $z \in Q$ , let  $\ell_z$  be the horizontal line through z. We define  $\delta_z(E_i) = diam(\ell_z \cap E_i), \ \delta_{i,max} = \max_{z \in Q} \delta_z(E_i), \ \delta_{i,min} = \min_{z \in Q} \delta_z(E_i)$ . We assume the following

## **Geometric conditions**

- G1. For  $i \neq j$  holds int  $E_i \cap int E_j = \emptyset$  and int  $S_i \cap int S_j = \emptyset$ .
- G2.  $mes(Q \setminus \bigcup_i int E_i) = 0$  where *mes* stands for Lebesgue measure.
- G3. For some  $0 < a \le b < 1$  and some  $C_G \ge 1$  holds

$$C_G^{-1}a^i \leq \delta_{i,min} \leq \delta_{i,max} \leq C_G b^i$$

**Remark 1.1** Condition [G3] is a simplified version of respective assumptions in [9] and [10], but still allows the widths of  $E_i$  to oscillate exponentially.

In the standard coordinate system for a map  $F : (x,y) \to (F_1(x,y), F_2(x,y))$ we use DF(x,y) to denote the differential of F at some point (x,y) and  $F_{jx}$ ,  $F_{jy}$ ,  $F_{jxx}$ ,  $F_{jxy}$ , etc., for partial derivatives of  $F_j$ , j = 1, 2.

Let  $J_F(z) = |F_{1x}(z)F_{2y}(z) - F_{1y}(z)F_{2x}(z)|$  be the absolute value of the Jacobian determinant of *F* at *z*.

3. Next we assume

#### Hyperbolicity conditions

There exist constants  $0 < \alpha < 1$  and  $K_0 > 1$  such that for each *i* the map

$$F(z) = f_i(z)$$
 for  $z \in E_i$ 

satisfies

- H1.  $|F_{2x}(z)| + \alpha |F_{2y}(z)| + \alpha^2 |F_{1y}(z)| \le \alpha |F_{1x}(z)|$ H2.  $|F_{1x}(z)| - \alpha |F_{1y}(z)| \ge K_0$ . H3.  $|F_{1y}(z)| + \alpha |F_{2y}(z)| + \alpha^2 |F_{2x}(z)| \le \alpha |F_{1x}(z)|$ H4.  $|F_{1x}(z)| - \alpha |F_{2x}(z)| \ge J_F(z)K_0$ .
- 4. Some corollaries from Hyperbolicity conditions.

For a real number  $0 < \alpha < 1$ , we define the cones

$$K_{\alpha}^{u} = \{ (v_{1}, v_{2}) : |v_{2}| \le \alpha |v_{1}| \}$$
$$K_{\alpha}^{s} = \{ (v_{1}, v_{2}) : |v_{1}| \le \alpha |v_{2}| \}$$

$$\mathbf{x}_{\boldsymbol{\alpha}} = \{(v_1, v_2) : |v_1| \leq \boldsymbol{\alpha} |v_2|\}$$

and the corresponding cone fields  $K^{u}_{\alpha}(z), K^{s}_{\alpha}(z)$  in the tangent spaces at points  $z \in \mathbf{R}^{2}$ .

The following proposition proved in [10] relates conditions H1-H4 above with the usual definition of hyperbolicity in terms of cone conditions. It shows that conditions H1 and H2 imply that the  $K^u_{\alpha}$  cone is mapped into itself by *DF* and expanded by a factor no smaller than  $K_0$  while H3 and H4 imply that the  $K^s_{\alpha}$  cone is mapped into itself by  $DF^{-1}$  and expanded by a factor no smaller than  $K_0$ .

Unless otherwise stated, we use the max norm on  $\mathbb{R}^2$ ,  $|(v_1, v_2)| = \max(|v_1|, |v_2|)$ .

Proposition 1.2 Under conditions H1-H4 above, we have

$$DF(K^u_{\alpha}) \subseteq K^u_{\alpha}$$
 (1)

$$v \in K^{u}_{\alpha} \Rightarrow |DFv| \ge K_{0}|v|$$
<sup>(2)</sup>

$$DF^{-1}(K^s_{\alpha}) \subseteq K^s_{\alpha}$$
 (3)

$$v \in K^s_{\alpha} \Rightarrow |DF^{-1}v| \ge K_0 |v| \tag{4}$$

**Remark 1.3** The first version of hyperbolicity conditions appeared in the paper of Smale [16]. It was developed in particular by Alexeev [4] and by Hirsch, Pugh and Shub, see [7], [11]. Cone conditions for billiard systems were first studied by Sinai, see [19].

Here we use hyperbolicity conditions from [10]. In [9] we used hyperbolicity conditions from [4] which implied the invariance of cones and uniform expansion with respect to the sum norm  $|v| = |v_1| + |v_2|$ .

5. A theorem about systems satisfying Geometric and Hyperbolicity conditions.

The map

$$F(z) = f_i(z)$$
 for  $z \in int E_i$ 

is defined almost everywhere on Q. Let  $\tilde{Q}_0 = \bigcup_i int E_i$ , and, define  $\tilde{Q}_n, n > 0$ , inductively by  $\tilde{Q}_n = \tilde{Q}_0 \cap F^{-1} \tilde{Q}_{n-1}$ . Let  $\tilde{Q} = \bigcap_{n \ge 0} \tilde{Q}_n$  be the set of points whose forward orbits always stay in  $\bigcup_i int E_i$ . Then,  $\tilde{Q}$  has full Lebesgue measure in Q, and F maps  $\tilde{Q}$  into itself.

The hyperbolicity conditions H1–H4 imply the estimates on the derivatives of the boundary curves of  $E_i$  and  $S_i$  which we described earlier. They also imply that any intersection  $f_iE_i \cap E_j$  is full width in  $E_j$ . Further,  $E_{ij} = E_i \cap f_i^{-1}E_j$  is a full height subrectangle of  $E_i$  and  $S_{ij} = f_jf_iE_{ij}$  is a full width substrip in Q.

Given a finite string  $i_0 \dots i_{n-1}$ , we define inductively

$$E_{i_0\dots i_{n-1}} = E_{i_0} \bigcap f_{i_0}^{-1} E_{i_1 i_2\dots i_{n-1}}$$

Then, each set  $E_{i_0...i_{n-1}}$  is a full height subrectangle of  $E_{i_0}$ . Analogously, for a string  $i_{-m}...i_{-1}$  we define

$$S_{i_{-m}...i_{-1}} = f_{i_{-1}}(S_{i_{-m}...i_{-2}} \bigcap E_{i_{-1}})$$

and get that  $S_{i_{-m}...i_{-1}}$  is a full width strip in Q. It is easy to see that  $S_{i_{-m}...i_{-1}} = f_{i_{-1}} \circ f_{i_{-2}} \circ \ldots \circ f_{i_{-m}}(E_{i_{-m}...i_{-1}})$  and that  $f_{i_0}^{-1}(S_{i_{-m}...i_{-1}})$  is a full-width substrip

of  $E_{i_0}$ . We also define curvilinear rectangles  $R_{i_{-m}...i_{-1},i_0...i_{n-1}}$  by

$$R_{i_{-m}...i_{-1},i_{0}...i_{n-1}} = S_{i_{-m}...i_{-1}} \bigcap E_{i_{0}...i_{n-1}}$$

If there are no negative indices then respective rectangle is full height in Q. For infinite strings, we have the following Proposition.

**Proposition 1.4** Any  $C^1$  map F satisfying the above geometric conditions G1-G3 and hyperbolicity conditions H1-H4 has a "topological attractor"

$$\Lambda = \bigcup_{\dots i_{-n}\dots i_{-1}} \bigcap_{k\geq 1} S_{i_{-k}\dots i_{-1}}$$

The infinite intersections  $\bigcap_{k=1}^{\infty} S_{i_{-k}...i_{-1}}$  define  $C^1$  curves y(x),  $|dy/dx| \leq \alpha$  which are the unstable manifolds for the points of the attractor. The infinite intersections  $\bigcap_{k=1}^{\infty} E_{i_0...i_{k-1}}$  define  $C^1$  curves x(y),  $|dx/dy| \leq \alpha$  which are the stable manifolds for the points of the attractor. The infinite intersections

$$\bigcap_{m=1}^{\infty}\bigcap_{n=1}^{\infty}R_{i_{-m}\ldots i_{-1},i_{0}\ldots i_{n-1}}$$

define points of the attractor.

Proposition 1.4 is a well known fact in hyperbolic theory. For example it follows from Theorem 1 in [4]. See also [11]. The union of the stable manifolds has full measure in Q. The trajectories of all points in this set converge to  $\Lambda$ . That is the reason to call  $\Lambda$  a topological attractor.

6. Next we assume certain Distortion conditions.

As we have a countable number of domains the derivatives of  $f_i$  grow. We formulate certain assumptions on the second derivatives. We use the distance function  $d((x,y),(x_1,y_1)) = \max(|x-x_1|, |y-y_1|)$  associated with the norm  $|v| = \max(|v_1|, |v_2|)$  on vectors  $v = (v_1, v_2)$ .

As above, for a point  $z \in Q$ , let  $l_z$  denote the horizontal line through z, and if  $E \subseteq Q$ , let  $\delta_z(E)$  denote the diameter of the horizontal section  $l_z \cap E$ . We call  $\delta_z(E)$  the z-width of E.

In given coordinate systems we write  $f_i(x,y) = (f_{i1}(x,y), f_{i2}(x,y))$ . We use  $f_{ijx}, f_{ijy}, f_{ijxx}, f_{ijxy}$ , etc. for partial derivatives of  $f_{ij}, j = 1, 2$ . We define

$$|D^{2}f_{i}(z)| = \max_{j=1,2,(k,l)=(x,x),(x,y),(y,y)} |f_{ijkl}(z)|.$$

Next we formulate distortion conditions which are used to control the fluctuation of the derivatives of iterates of F along unstable manifolds, and to construct Sinai local measures.

Suppose there is a constant  $C_0 > 0$  such that the following **Distortion conditions D1** are satisfied

D1. 
$$\sup_{z \in E_i, i \ge 1} \frac{|D^2 f_i(z)|}{|f_{i1x}(z)|} \delta_z(E_i) < C_0.$$

7. An *F*-invariant Borel probability measure  $\mu$  on *Q* is called a *Sinai* – *Ruelle* – *Bowen* measure (or SRB-measure) for *F* if  $\mu$  is ergodic and there is a set  $A \subset Q$  of positive Lebesgue measure such that for  $x \in A$  and any continuous real-valued function  $\phi : Q \to \mathbf{R}$ , we have

$$\lim_{n \to \infty} \frac{1}{n} \sum_{k=0}^{n-1} \phi(F^k x) = \int \phi d\mu.$$
(5)

Existence of an SRB measure is a much stronger result than 1.4. It allows to describe statistical properties of trajectories in a set of positive phase volume.

Our conditions imply the following theorem proved in [9], [10].

**Theorem 1.5** Let *F* be a piecewise smooth mapping as above satisfying the geometric conditions G1–G3, the hyperbolicity conditions H1–H4 and the distortion condition D1.

Then, F has an SRB measure  $\mu$  supported on  $\Lambda$  whose basin has full Lebesgue measure in Q. Dynamical system  $(F, \mu)$  satisfies the following properties.

- (a)  $(F,\mu)$  is measure-theoretically isomorphic to a Bernoulli shift.
- (b) *F* has finite entropy with respect to the measure  $\mu$ , and the entropy formula holds

$$h_{\mu}(F) = \int log |D^{\mu}F| d\mu \tag{6}$$

where  $D^{u}F(z)$  is the norm of the derivative of F in the unstable direction at z.

(*c*)

$$h_{\mu}(F) = \lim_{n \to \infty} \frac{1}{n} \log |DF^{n}(z)|$$
(7)

where the latter limit exists for Lebesgue almost all z and is independent of such z.

 Additional hyperbolicity and distortion conditions and statement of the main theorem.

When applying thermodynamic formalism to hyperbolic attractors one considers the function  $\phi(z) = -\log(D^u F(z))$ . Thermodynamic formalism is based on the fact that the pullback of  $\phi(z)$  into a symbolic space determined by some Markov partition is a locally Hölder function.

In order to prove Hölder property of  $\phi(z)$  we assume that the inverse map  $F^{-1}$  satisfies distortion conditions similar to D1. As branches  $f_i^{-1}$  of  $F^{-1}$  are defined on strips  $S_i$  we consider crossections of  $S_i$  by vertical lines. Let  $\xi_z(S_i)$  be the *z*-height of  $S_i$ , i.e. the height of the vertical crossections of  $S_i$  through  $z \in S_i$ . For  $F^{-1}(z)$  the derivative  $F_{2y}^{-1}(z)$  plays the same role as  $F_{i1x}(z)$  for F.

## **Distortion condition D2**.

Suppose there is a constant  $C_0 > 0$  such that

D2. 
$$\sup_{z \in S_i, i \ge 1} \frac{|D^2 f_i^{-1}(z)|}{|f_{i2y}^{-1}(z)|} \xi_z(S_i) < C_0.$$

In this paper we apply the same approach as in [8] to some models with F satisfying distortion conditions D1 and D2. We assume additionally that

variation of  $\log F_{1x}$  on initial rectangles  $E_i$  is uniformly bounded, and that contraction is sufficiently strong.

## **Bounded Initial Variation**.

BIV. There exists  $B_0 > 0$  such that for all i and all  $\{z_1 = (x_1, y_1), z_2 = (x_2, y_2)\} \in E_i$  holds

$$|\log F_{1x}(z_1) - \log F_{1x}(z_2)| < B_0 \tag{8}$$

For rectangles  $R_{i,j} = S_i \cap E_j$  we define the maximal height  $H_{max}(R_{i,j}) = max_{z \in R_{i,j}}\xi_z(S_i)$ , and the minimal width  $W_{min}(R_{i,j}) = \min_{z \in R_{i,j}}\delta_z(E_j)$ . We suppose the following condition of *strong contraction* holds.

#### **Strong Contraction**.

SC. There exists  $M_0 > 0$  such that for all *i*, *j* holds

$$H_{max}(R_{i,j}) < M_0 W_{min}(R_{i,j}) \tag{9}$$

Examples where condition SC is satisfied can be constructed as follows. The widths of  $E_j$  decrease when  $E_j$  accumulate toward one of the vertical boundaries of Q, say toward  $\{(x, y) : x = 0\}$ . At the same time for each *i* the heights  $\xi_z(S_i)$  converge to 0 for  $\{z = (x, y) : x \to 0\}$ , in such a way that condition SC is satisfied.

Let  $\mathscr{H}_{\gamma}$  be the space of functions on Q satisfying Hölder property with exponent  $\gamma$ 

$$|\phi(x) - \phi(y)| \le c |x - y|^{\gamma}$$

We prove the following theorem

**Theorem 1.6** Suppose *F* satisfies conditions of Theorem 1.5 and also conditions D2, BIV and SC. Then  $(F, \mu)$  has exponential decay of correlations for  $\phi, \psi \in \mathscr{H}_{\gamma}$ . Namely there exist  $\eta(\gamma) < 1$  and  $C = C(\phi, \psi)$  such that

$$\left|\int\phi(\psi\circ F^{n})d\mu - \int\phi d\mu\int\psi d\mu\right| < C\eta^{n}$$
<sup>(10)</sup>

Below in Section 4 we consider examples of systems satisfying conditions of theorem 1.6.

# **2** Hölder properties of $log(D^uF(z))$ in the phase space.

The key step toward the proof of Theorem 1.6 is to establish that the pullback of the function  $\log D^{\mu}F$  into respective symbolic space is Hölder continuous. Then one can follow Ruelle-Bowen approach ([12], [6]), in particular results of Sarig [13], and develop thermodynamic formalism for systems under consideration.

Hölder properties of the pullback of  $\log D^{u}F$  into symbolic space follow from Hölder properties of  $\log D^{u}F$  in the phase space. In this Section we establish such properties.

Although Markov partitions are partitions of the attractor, we need to check Hölder property on actual two-dimensional curvilinear rectangles  $R_{i-m...i-1,i_0...i_{n-1}}$ . We call respective partition Markov as well.

In our models Markov partitions consist of full height rectangles  $E_i$ . For any function a(x, y) the variation of a(x, y) over a rectangle R is defined as

$$var(a(x,y))|R = \sup_{(x_1,y_1)\in R, (x_2,y_2)\in R} |a(x_1,y_1) - a(x_2,y_2)|$$
(11)

The function  $\log D^{u}F$  is **locally Hölder** if for  $m \ge 0$ ,  $n \ge 1$  the variation of  $\log D^{u}F$  on  $R_{i_{-m}...i_{-1},i_{0}...i_{n-1}}$  satisfies

$$var(\log D^{u}F)|R_{i_{-m}\dots i_{-1},i_{0}\dots i_{n-1}} < C\theta_{0}^{min(m,n)}$$
(12)

for some C > 0,  $\theta_0 < 1$ .

## **Proposition 2.1** $\log D^{u}F$ is a locally Hölder function.

The strategy of the proof is similar to the one in the proof of Proposition 5.1 in [8].

We prove Proposition 2.1 with some  $\theta_0$  and *C* determined by hyperbolicity and distortion conditions, and condition SC.

1. The sets  $R_{i_{-m}...i_{-1},i_{0}...i_{n-1}}$  are bounded from above and below by some arcs of two unstable curves  $\Gamma_{i_{-m}...i_{-1}}^{u}$ , which are images of some pieces of the top

and bottom of Q, and from left and right by some arcs of two stable curves  $\Gamma_{i_0...i_{n-1}}^s$ , which are preimages of some pieces the left and right boundaries of Q.

Let  $Z_1, Z_2 \in R_{i_{-m}...i_{-1},i_0...i_{n-1}}$  be two points on the attractor. We connect  $Z_1, Z_2$  by two pieces of their unstable manifolds to two points  $Z_3, Z_4$  which belong to the same stable manifold. Let

 $\gamma_1 = \gamma(Z_1, Z_3) \subset W^u(Z_1), \ \gamma_2 = \gamma(Z_2, Z_4) \subset W^u(Z_2), \ \gamma_3 = \gamma(Z_3, Z_4) \subset W^s(Z_3)$ be respective curves all located inside  $R_{i_{-m}...i_{-1}, i_0...i_{n-1}}$ . We estimate

$$|\log D^{u}F(Z_{1}) - \log D^{u}F(Z_{2})| \leq |\log D^{u}F(Z_{1}) - \log D^{u}F(Z_{3})| + |\log D^{u}F(Z_{3}) - \log D^{u}F(Z_{4})| + |\log D^{u}F(Z_{4}) - \log D^{u}F(Z_{2})|$$

First we estimate | log D<sup>u</sup>F(Z<sub>1</sub>) - log D<sup>u</sup>F(Z<sub>3</sub>) |. We connect Z<sub>1</sub> and Z<sub>3</sub> by a chain of small rectangles R ⊂ R<sub>i-m...i-1</sub>,i<sub>0</sub>...i<sub>n-1</sub> covering γ<sub>1</sub>. Then | log D<sup>u</sup>F(Z<sub>1</sub>) - log D<sup>u</sup>F(Z<sub>3</sub>) | is majorated by the sum of similar differences for points z<sub>1</sub>, z<sub>2</sub> ∈ W<sup>u</sup>(Z<sub>1</sub>) ∩ R. Because of cone conditions we can choose rectangles R = Δx × Δy satisfying | Δy | < α | Δx |. Let R be one of such rectangles. As in the proof of Proposition 5.1 in [8] the estimate of | log D<sup>u</sup>F(Z<sub>1</sub>) - log D<sup>u</sup>F(Z<sub>3</sub>) | is reduced to the estimate

$$\left|\log F_{1x}(z_1) - \log F_{1x}(z_2)\right|$$
(13)

for points  $z_1, z_2 \in W^u(Z_1) \cap R$ .

Let  $\Gamma_1 \supset \gamma_1$  be the large piece of the same unstable manifold restricted to  $E_{i_0}$ . Using the mean value theorem we estimate the variation of  $\log D^{u}F(z)$  on *R* as

$$const \ sup_{z \in R} \frac{|f_{1ij}(z)|}{|f_{1x}(z)|} |\Gamma_1| \frac{\Delta x}{|\Gamma_1|}$$
(14)

Note that differently from [8] here the ratios  $\frac{|f_{ijkl}|}{|f_{i1x}|}$  can be unbounded, so in order to use distortion condition D1 we divide and multiply by  $|\Gamma_1|$ . After we add over rectangles covering  $\gamma_1$  we get an estimate

$$|\log D^{u}F(Z_{1}) - \log D^{u}F(Z_{3})| < C_{1}\frac{|\gamma_{1}|}{|\Gamma_{1}|}$$
 (15)

Under  $f_{i_0}$  the curve  $\Gamma_1$  is mapped onto a full width curve, and  $\gamma_1$  is mapped onto a piece of  $W^u(f_{i_0}(Z_1), E_{i_1...i_{n-1}})$ . The length of that curve is bounded

by  $c \frac{1}{K_0^{n-1}}$ . Then applying again D1 we get

$$|\log D^{u}F(z_{1}) - \log D^{u}F(z_{3})| < C_{2}\frac{1}{K_{0}^{n}}$$
(16)

where  $C_2$  is a uniform constant. Similar estimates hold for  $Z_2, Z_4 \in \gamma_2$ .

$$|\log D^{u}F(Z_{2}) - \log D^{u}F(Z_{4})| < C_{2}\frac{1}{K_{0}^{n}}$$
(17)

3. Next we estimate the variation of  $\log |D^u F(z)|$  between points  $Z_3$  and  $Z_4$ , which belong to the same stable manifold  $W^s(Z_3) = W^s(Z_4) \subset R_{i_{-m}...i_{-1},i_0...i_{n-1}}$ . BIV condition implies that expressions

$$\left|\log D^{u}F(Z_{3}) - \log D^{u}F(Z_{4})\right| \tag{18}$$

are uniformly bounded on full height rectangles, so it is enough to consider subrectangles of  $R_{i,j}$ .

Hyperbolicity conditions imply (see [10]) that any unit vector in  $K^u_{\alpha}$  at a point  $z \in E_i$ , in particular a tangent vector to  $W^u(z)$ , has coordinates  $(1, a_z)$  with  $|a_z| < \alpha$ . Thus we need to estimate

$$\log |F_{1x}(Z_3) + a_{Z_3}F_{1y}(Z_3)| - \log |F_{1x}(Z_4) + a_{Z_4}F_{1y}(Z_4)|$$
(19)

This time instead of moving along  $W^u(Z_1)$  we are moving along  $W^s(Z_3)$ , which connects  $Z_3$  and  $Z_4$ . In that case we use  $|\Delta x| < \alpha |\Delta y|$ , so  $\Delta y$  variations are added.

As in [8] the proof of 19 is reduced to the estimates of two kinds.

(a) First we combine similar terms, and estimate sums of contributions

$$|\log F_{1x}(z_1) - \log F_{1x}(z_2)|$$
 (20)

over small rectangles *R* covering  $\gamma_3$ .

Let  $\Gamma_3 \supset \gamma_3$  be the large piece of the same stable manifold restricted to  $R_{i_{-1},i_0}$ . As above in 14 by using the mean value theorem we estimate 20 as

$$const \ sup_{z \in R} \frac{|f_{1ij}(z)|}{|f_{1x}(z)|} |\Gamma_3| \frac{\Delta y}{|\Gamma_3|}$$
(21)

The sum of such contributions is estimated as

const 
$$\sup_{z \in R_{i_{-1},i_0}} \frac{|f_{1ij}(z)|}{|f_{1x}(z)|} |\Gamma_3| \frac{|\gamma_3|}{|\Gamma_3|}$$
 (22)

Because of the strong contraction condition SC there exists a constant  $M_1$  such that

$$|\Gamma_3| < M_1 |\Gamma_1| \tag{23}$$

We rewrite the above estimate as

const 
$$\sup_{z \in R_{i_{-1},i_0}} \frac{|f_{1ij}(z)|}{|f_{1x}(z)|} |\Gamma_1| M_1 \frac{|\gamma_3|}{|\Gamma_3|}$$
 (24)

Using distortion condition D1 we get that 24 is bounded by

$$c_1 \frac{|\gamma_3|}{|\Gamma_3|} \tag{25}$$

Because of distortion condition D2 ratios of lengths on stable manifolds are preserved under the action of  $F^{-1}$  up to a constant.  $F^{-1}$ maps  $\Gamma_3$  onto a stable curve of full height, and  $\gamma_3$  is mapped onto a curve which is full height in  $S_{i-m...i-2}$ . As lengths on stable manifolds are contracted at least by  $K_0^{-1}$ , all terms from above contribute an estimate less than

$$c_2 \frac{1}{K_0^m} \tag{26}$$

(b) Next, as in [8] we need to estimate

$$|a_{Z_3} - a_{Z_4}|$$
 (27)

We repeat the arguments of Lemma 5.2 from [8], and prove by induction that there exist  $c_0 > 0$ ,  $0 < \theta_0 < 1$  such that

$$|a_{Z_3} - a_{Z_4}| < c_0 \theta_0^m \tag{28}$$

As in Lemma 5.2 from [8], the proof of 28 is reduced to estimates of two types. Estimate of type 1 is obtained by taking sums of the following expressions over  $W^{s}(z, R_{i-m...i_{-1},i_{0}...i_{n-1}})$ 

$$\log F_{1x}(z_1) - \log F_{1x}(z_2) \tag{29}$$

where  $z_1$  and  $z_2$  are close points on  $W^s(z, R_{i_{-m}...i_{-1},i_0...i_{n-1}})$ . Such sums are estimated as above, and we get that respective sums are bounded by

$$c_3 \frac{1}{K_0^m} \tag{30}$$

Estimate of type 2 is the estimate of

$$|\frac{F_{2y}}{F_{1x}}(Z_{3})a(Z_{3}) - \frac{F_{2y}}{F_{1x}}(Z_{4})a(Z_{4})|$$

$$\leq |\frac{F_{2y}}{F_{1x}}(Z_{3})a(Z_{3}) - \frac{F_{2y}}{F_{1x}}(Z_{3})a(Z_{4})|$$

$$+ |\frac{F_{2y}}{F_{1x}}(Z_{3})a(Z_{4}) - \frac{F_{2y}}{F_{1x}}(Z_{4})a(Z_{4})|$$
(31)

In order to estimate the second term in 31 we split  $\gamma_3$  into small pieces and get as above the estimate 30.

The first term is estimated using inductive assumption. Note that we can assume

$$\frac{1}{K_0^2} + \alpha^2 < 1 \tag{32}$$

That is because (as proved in [10]) one can consider some power  $F^t$  instead of F, and still have conditions D1 and D2 (with different constants). By choosing appropriate power one can make  $K_0$  arbitrary large. Then 32 will be satisfied. As exponential decay of correlations for  $F^t$  implies exponential decay of correlations for F, Theorem 1.6 follows from exponential decay of correlations for  $F^t$ .

So, differently from [8], here we do not need 32 as an additional condition H5.

Then as in [8] the total estimate is

$$|a_{F(Z_{3})} - a_{F(Z_{4})}| < c_{4} \frac{1}{K_{0}^{m}} + \left(\frac{1}{K_{0}^{2}} + \alpha^{2}\right) c_{0} \theta_{0}^{m}$$
(33)

As  $K_0 > 1$  we can choose  $\theta_0 < 1$  satisfying

$$\theta_0 > \frac{1}{K_0} \tag{34}$$

Also we can choose  $\theta_0 < 1$  satisfying simulteneously

$$\frac{1}{K_0^2} + \alpha^2 < \theta_0 \tag{35}$$

Then if

$$c_0 > \frac{c_4}{\theta_0 - (\frac{1}{K_0^2} + \alpha^2)}$$
(36)

we get the left side of 33 less than  $c_0 \theta_0^{m+1}$ .

4. From 34, 28 and 26 we get

$$|\log D^{u}F(z_{3}) - \log D^{u}F(z_{4})| < c_{5}\theta_{0}^{m}$$
(37)

Combining 16, 17, 37 we conclude the proof of Proposition 2.1.

# **3 Proof of the main theorem**

1. The following property, see [14], is useful for the study of the decay of correlations.

Let *A* be the matrix of admissible transitions for a countable shift. The matrix *A* satisfies **Big Images and Preimages** property if

BIP There is a finite set of states  $i_1, i_2, ..., i_N$  such that for every state *j* in the alphabet there are *k*, *l* such that  $a_{i_k j} a_{ji_l} = 1$ .

Proposition 6.3 from [8] based on the results of Sarig ([13], [14]) gives sufficient conditions for exponential decay of correlations for Hölder (in particular smooth) functions restricted to the attractor. We state it as the following theorem.

**Theorem 3.1** Suppose there is a Markov partition of the attractor satisfying the following properties.

- (a) The matrix A of admissible transitions is topologically mixing and satisfies BIP property.
- (b)  $\Phi(x,y) = -\log |D^{u}F|$  is locally Hölder in the phase space.

(c) A function  $\phi(x)$  cohomologous to the pullback of  $\Phi(x, y)$  into symbolic space satisfies  $P(\phi(x)) < \infty$ .

then Theorem 1.6 holds.

2. After Hölder property of the Markov partition is established conditions of the Theorem 3.1 are checked as in [8]. As *A* is Bernoulli, property (a) is satisfied. Proposition 2.1 implies property (b). The same arguments which were used in the proof of Theorem 1.6 in [8], prove that property (c) is satisfied with P(φ) = 0. That finishes the proof of Theorem 1.6.

# **4** One model with strong contraction

1. We fix some A > 1 and consider the following map  $F = \{f_n\}, n = 0, 1...$  of the unit square Q into itself. The domain of  $f_n$  is the full height rectangle  $E_n$  bounded on the right by the vertical line  $x = \frac{1}{A^n}$  and on the left by  $x = \frac{1}{A^{n+1}}$ , n = 0, 1, ... Coordinates  $f_{n1}$  and  $f_{n2}$  of  $f_n$  are given by

$$f_{n1}(x,y) = \frac{A^{2n+1}}{A-1} x \left( x - \frac{1}{A^{n+1}} \right)$$
(38)

$$f_{n2}(x,y) = \varepsilon_n y \left( x - \frac{1}{A^{n+1}} \right) + \delta_n \tag{39}$$

If  $\varepsilon_n$  are small then the images  $S_n = f_n(E_n)$  are narrow strips. From definition  $S_n$  are bounded on the left and on the right by some subintervals of the left and the right boundaries of Q.

One can choose  $\delta_n$  and small  $\varepsilon_n$  so that  $S_n$  are located in Q and do not intersect.

2. From 38, 39 we get the following partial derivatives.

$$f_{n1x} = \frac{A^{2n+1}}{A-1} \left( 2x - \frac{1}{A^{n+1}} \right) \tag{40}$$

$$f_{n1y} = 0 \tag{41}$$

$$f_{n2x} = \varepsilon_n y \tag{42}$$

$$f_{n2y} = \varepsilon_n \left( x - \frac{1}{A^{n+1}} \right) \tag{43}$$

$$f_{n1xx} = \frac{2}{A-1} A^{2n+1} \tag{44}$$

$$f_{n2xy} = \varepsilon_n \tag{45}$$

$$f_{n1xy} = f_{n1yy} = f_{n2yy} = f_{n2xx} = 0$$
(46)

For  $x \in E_n$  satisfying  $\frac{1}{A^{n+1}} < x < \frac{1}{A^n}$  we get

$$f_{n1x} \ge \frac{A^n}{A-1} \tag{47}$$

For any  $\alpha < 1$ , if we choose  $\varepsilon_n$  decreasing, and  $\varepsilon_0$  sufficiently small, then the above formulas imply Hyperbolicity Conditions with

$$K_0 = \frac{1}{A - 1} \tag{48}$$

From 44 and 47 we get

$$\frac{\mid f_{n1xx} \mid}{\mid f_{n1x}^2 \mid} < c \tag{49}$$

but

$$\frac{\mid f_{n1xx} \mid}{\mid f_{n1x} \mid} > A^n \tag{50}$$

is unbounded. We get that Distortion Conditions of [8] are not satisfied. At the same time, as all ratios  $\frac{|f_{nijk}|}{|f_{n1x}^2|}$ , except for i = 1, j = k = x, are small and decreasing with *n*, we get that Distortion Condition D1 is satisfied. Respectively *F* has an SRB measure  $\mu$ , and Theorem 1.5 holds for the map *F*. As  $f_{n1x}$  do not depend on *y* BIV condition is satisfied. We assume

- (a) A > 1 is sufficiently close to 1
- (b)  $\varepsilon_0$  is sufficiently small.

Then D1 and 43 imply that condition SC is satified.

3. To check condition D2 we evaluate partial derivatives of  $f_n^{-1}$ . Jacobian of  $f_n$  equals

$$J_n = \frac{A^{2n+1}}{A-1} \left( 2x - \frac{1}{A^{n+1}} \right) \varepsilon_n \left( x - \frac{1}{A^{n+1}} \right)$$
(51)

Partial derivatives depend on coordinates  $(u, v) \in S_n$ , but we write them in terms of coordinates  $(x, y) \in E_n$ .

$$f_{n1u}^{-1} = J_n^{-1} \varepsilon_n \left( x - \frac{1}{A^{n+1}} \right)$$
(52)

$$f_{n1v}^{-1} = 0 (53)$$

$$f_{n2u}^{-1} = -J_n^{-1}\varepsilon_n y \tag{54}$$

$$f_{n2v}^{-1} = J_n^{-1} \frac{A^{2n+1}}{A-1} \left( 2x - \frac{1}{A^{n+1}} \right)$$
(55)

Next we evaluate second partials of  $f_n^{-1}$  by using formulas

$$f_{n1uu}^{-1} = f_{n1ux}^{-1} \frac{\partial x}{\partial u} + f_{n1uy}^{-1} \frac{\partial y}{\partial u}$$
(56)

etc. Then we get

$$f_{n1uu}^{-1} = \left(\frac{A-1}{A^{2n+1}}\right)^2 \frac{-2}{\left(2x - \frac{1}{A^{n+1}}\right)^3}$$
(57)

$$f_{n1uv}^{-1} = f_{n1vv}^{-1} = f_{n2vv}^{-1} = 0$$
(58)

$$f_{n2uu}^{-1} = y \left(\frac{A-1}{A^{2n+1}}\right)^2 \frac{4x - \frac{3}{A^{n+1}}}{\left(2x - \frac{1}{A^{n+1}}\right)^3 \left(x - \frac{1}{A^{n+1}}\right)^2} + (59)$$

$$\left(\frac{A-1}{A^{2n+1}}\right)^2 \frac{y}{\left(2x - \frac{1}{A^{n+1}}\right)^2 \left(x - \frac{1}{A^{n+1}}\right)^2}$$

$$f_{n2uv}^{-1} = -\frac{(A-1)}{\varepsilon_n A^{2n+1}} \frac{1}{\left(x - \frac{1}{A^{n+1}}\right)^2 \left(2x - \frac{1}{A^{n+1}}\right)} \tag{60}$$

To check that D2 is satisfied we divide second derivatives by  $(f_{n2\nu}^{-1})^2$ . Using 55 we get that second derivatives are multiplied by

$$\varepsilon_n^2 \left( x - \frac{1}{A^{n+1}} \right)^2 \tag{61}$$

Then the above formulas imply that for  $\frac{1}{A^{n+1}} < x < \frac{1}{A^n}$  condition D2 is satisfied.

Thus all conditions of Theorem 1.6 are satisfied, and our models have exponential decay of correlations.

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