

# T-Duality for Torus Bundles with H-Fluxes via Noncommutative Topology

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**Abstract:** It is known that the T-dual of a circle bundle with H-flux (given by a Neveu-Schwarz 3-form) is the T-dual circle bundle with dual H-flux. However, it is also known that torus bundles with H-flux do not necessarily have a T-dual which is a torus bundle. A big puzzle has been to explain these mysterious “missing T-duals.” Here we show that this problem is resolved using noncommutative topology. It turns out that every principal  $T^2$ -bundle with H-flux does indeed have a T-dual, but in the missing cases (which we characterize), the T-dual is non-classical and is a bundle of noncommutative tori. The duality comes with an isomorphism of twisted  $K$ -theories, just as in the classical case. The isomorphism of twisted cohomology which one gets in the classical case is replaced by an isomorphism of twisted cyclic homology.

## 1. Introduction

An important symmetry of string theories is T-duality, which exchanges wrapping of fields over a torus with wrapping over the dual torus [8, 9, 1, 2]. (The exact mathematical meaning of “dual torus” is that if  $\Lambda$  is a lattice in  $\mathbb{R}^n$  and  $\Lambda^*$  is the dual lattice in the dual vector space  $(\mathbb{R}^n)^*$ , then  $(\mathbb{R}^n)^*/\Lambda^*$  is the dual torus to  $\mathbb{R}^n/\Lambda$ .) Many authors have tried to understand this duality from various points of view. Since Ramond-Ramond (RR) charges are expected to be represented by classes in  $K$ -theory (see, e.g., [39, 40, 27, 24]), T-duality should come with an isomorphism of  $K$ -theories (usually with a degree shift) between a theory and its dual. The type of  $K$ -theory appropriate for the situation (e.g.,  $K$ ,  $KO$ , or  $KSp$ ) depends on the type of string theory being considered; here we deal with the type II situation, which leads to complex  $K$ -theory. (For a few comments on type I theories, see Sect. 6.)

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As pointed out in many contexts (e.g., [37, 17]), T-duality can apply not only to theories over spaces of the form  $X \times T^n$ , but also to non-trivial torus bundles, and even to spaces which are only “approximately” of this form, for example, spaces admitting a torus action which is generically free. (However, in this paper we only consider the case of free torus actions.) In addition, it should apply as well to situations with a non-trivial Neveu-Schwarz (NS) 3-form  $H$ . In these situations, the H-flux gives rise to a twisting of  $K$ -theory, so that one expects an isomorphism of *twisted*  $K$ -theories. In its general form, T-duality often involves a change of topology (see, e.g., [5, 6 and 7]).

Our initial interest was in trying to explain the T-duality of torus bundles, in the presence of twisting by an H-flux, from the perspective of noncommutative topology. An unexpected byproduct, which we will discuss in Sect. 5, is that we have found that several known cases of torus bundles with “missing” T-duals are in fact naturally T-dual to *noncommutative* torus bundles, in a sense we will make precise below. This suggests an unexpected link between classical string theories and the “noncommutative” ones, obtained by “compactifying” along noncommutative tori, as in [13] (cf. also [36, §§6–7]).

Just as a complete characterization of T-duality on circle bundles with H-flux is given in [5 and 6], in this paper, we give a complete characterization of T-duality on principal  $\mathbb{T}^2$ -bundles with H-flux, Theorem 4.13. We also describe partial results for T-duality on general principal torus bundles with H-flux. The main mathematical result is a detailed analysis of the equivariant Brauer group for principal  $\mathbb{T}^2$ -bundles, Theorem 4.10, which refines earlier results in [14 and 28]. This depends on some explicit calculations of Moore’s “Borel cochain” cohomology groups.

## 2. Preliminaries on Noncommutative Tori

Here the definition of a (2-dimensional) noncommutative torus is recalled, cf. [34]. This algebra (stabilized by tensoring with the compact operators  $\mathcal{K}$ ) occurs geometrically as the foliation algebra associated to Kronecker foliations on the torus [10]. It also occurs naturally in the matrix formulation of M-theory as the components of Yang-Mills connections in the classification of BPS states [13].

For each  $\theta \in [0, 1]$ , the *noncommutative torus*  $A_\theta$  is defined abstractly as the  $C^*$ -algebra generated by two unitaries  $U$  and  $V$  in an infinite dimensional Hilbert space satisfying the relation  $UV = \exp(2\pi i\theta)VU$ . Elements in  $A_\theta$  can be represented by infinite power series

$$f = \sum_{(m,n) \in \mathbb{Z}^2} a_{(m,n)} U^m V^n, \tag{1}$$

where the coefficients  $a_{(m,n)} \in \mathbb{C}$  satisfy a decay condition (very hard to make precise) as  $(m, n) \rightarrow \infty$  in  $\mathbb{Z}^2$ . There is a natural smooth subalgebra  $A_\theta^\infty$  called the *smooth noncommutative torus*, which is defined as those elements in  $A_\theta$  that can be represented by infinite power series (1) with  $(a_{(m,n)}) \in \mathcal{S}(\mathbb{Z}^2)$ , the Schwartz space of rapidly decreasing sequences on  $\mathbb{Z}^2$ .

$A_\theta$  can also be realized as the crossed product  $C(\mathbb{T}) \rtimes_\theta \mathbb{Z}$ , where the generator of  $\mathbb{Z}$  acts on  $\mathbb{T}$  by rotation by the angle  $2\pi\theta$ . When  $\theta$  is rational,  $A_\theta$  is type I, and is even Morita equivalent to  $C(\mathbb{T}^2)$ . However, when  $\theta$  is irrational,  $A_\theta$  is a simple non-type I  $C^*$ -algebra. Because of the realization of  $A_\theta$  as a crossed product by rotation by  $2\pi\theta$ , the algebra in this case is often called an *irrational rotation algebra*.

Consider the 2 dimensional torus  $\mathbb{T}^2 = \mathbb{R}^2/\mathbb{Z}^2$ . For each  $\theta \in [0, 1]$ , the noncommutative torus  $A_\theta$  is Morita equivalent to the foliation algebra associated to the foliation on  $\mathbb{T}^2$  defined by the differential equation  $dx = \theta dy$  on  $\mathbb{T}^2$ .

### 3. Mathematical Framework

We begin by explaining the precise mathematical framework in which we are working. We assume  $X$  (which will be the spacetime of a string theory) is a (second-countable) locally compact Hausdorff space. In practice it will usually be a compact manifold, though we do not need to assume this. However it is convenient to assume that  $X$  is finite-dimensional and has the homotopy type of a finite CW-complex. (This assumption can be weakened but some finiteness assumption is necessary to avoid some pathologies. This is not a problem as far as the physics is concerned.) We assume  $X$  comes with a free action of a torus  $T$ ; thus (by the Gleason slice theorem [21]) the quotient map  $p: X \rightarrow Z$  is a principal  $T$ -bundle.

A *continuous-trace algebra*  $A$  over  $X$  is a particular type of type I  $C^*$ -algebra with spectrum  $X$  and good local structure (the ‘‘Fell condition’’ [20]).<sup>1</sup> We will always assume  $A$  is separable; then a basic structure theorem of Dixmier and Douady [16] says that after stabilization (i.e., tensoring by  $\mathcal{K}$ , the algebra of compact operators on an infinite-dimensional separable Hilbert space  $\mathcal{H}$ ),  $A$  becomes *locally* isomorphic to  $C_0(X, \mathcal{K})$ , the continuous  $\mathcal{K}$ -valued functions on  $X$  vanishing at infinity. However,  $A$  need not be *globally* isomorphic to  $C_0(X, \mathcal{K})$ , even after stabilization. The reason is that a stable continuous-trace algebra is the algebra of sections (vanishing at infinity) of a bundle of algebras over  $X$ , with fibers all isomorphic to  $\mathcal{K}$ . The structure group of the bundle is  $\text{Aut } \mathcal{K} \cong PU(\mathcal{H})$ , the projective unitary group  $U(\mathcal{H})/\mathbb{T}$ . Since  $U(\mathcal{H})$  is contractible and the circle group  $\mathbb{T}$  acts freely on it,  $PU(\mathcal{H})$  is an Eilenberg-MacLane  $K(\mathbb{Z}, 2)$ -space, and thus bundles of this type are classified by homotopy classes of continuous maps from  $X$  to  $BPU(\mathcal{H})$ , which is a  $K(\mathbb{Z}, 3)$ -space, or in other words by  $H^3(X, \mathbb{Z})$ . Alternatively, the bundles are classified by  $H^1(X, PU(\mathcal{H}))$ , the sheaf cohomology of the sheaf  $PU(\mathcal{H})$  of germs of continuous  $PU$ -valued functions on  $X$ , where the transition functions of the bundle naturally live. But because of the exact sequences in sheaf cohomology

$$0 = H^1(X, \underline{U(\mathcal{H})}) \rightarrow H^1(X, \underline{PU(\mathcal{H})}) \rightarrow H^2(X, \underline{\mathbb{T}}) \rightarrow 0$$

and

$$0 = H^2(X, \underline{\mathbb{R}}) \rightarrow H^2(X, \underline{\mathbb{T}}) \rightarrow H^3(X, \underline{\mathbb{Z}}) \rightarrow H^3(X, \underline{\mathbb{R}}) = 0,$$

the bundles are classified by  $H^2(X, \underline{\mathbb{T}}) \cong H^3(X, \underline{\mathbb{Z}})$  [35, §1]. Hence stable isomorphism classes of continuous-trace algebras over  $X$  are classified by the *Dixmier-Douady class* in  $H^3(X, \mathbb{Z})$ . It turns out that continuous-trace algebras over  $X$ , modulo Morita equivalence over  $X$ , naturally form a group under the operation of tensor product over  $C_0(X)$ , called the *Brauer group*  $\text{Br}(X)$ , and that this group is isomorphic to  $H^3(X, \mathbb{Z})$  via the Dixmier-Douady class.

Given an element  $\delta \in H^3(X, \mathbb{Z})$ , we denote by  $CT(X, \delta)$  the associated stable continuous-trace algebra. (Thus if  $\delta = 0$ , this is simply  $C_0(X, \mathcal{K})$ .) The (complex topological)  $K$ -theory  $K_\bullet(CT(X, \delta))$  is called the *twisted  $K$ -theory* [35, §2] of  $X$  with twist

<sup>1</sup> Except in Sect. 6 below, all  $C^*$ -algebras and Hilbert spaces in this paper will be over  $\mathbb{C}$ .

$\delta$ , denoted  $K^{-\bullet}(X, \delta)$ . When  $\delta$  is torsion, twisted  $K$ -theory had earlier been considered by Karoubi and Donovan [18]. When  $\delta = 0$ , twisted  $K$ -theory reduces to ordinary  $K$ -theory (with compact supports).

Now recall we are assuming  $X$  is equipped with a free  $T$ -action with quotient  $X/T = Z$ . (This means our theory is “compactified along tori” in a way reflecting a global symmetry group of  $X$ .) In general, a group action on  $X$  need not lift to an action on  $CT(X, \delta)$  for any value of  $\delta$  other than 0, and even when such a lift exists, it is not necessarily essentially unique. So one wants a way of keeping track of what lifts are possible and how unique they are. The correct generalization of  $\text{Br}(X)$  to the equivariant setting is the *equivariant Brauer group* defined in [14], consisting of equivariant Morita equivalence classes of continuous-trace algebras over  $X$  equipped with group actions lifting the action on  $X$ . By [14, Lemma 3.1], two group actions on the same stable continuous-trace algebra over  $X$  define the same element in the equivariant Brauer group if and only if they are outer conjugate. (This implies in particular that the crossed products are isomorphic.) Now let  $G$  be the universal cover of the torus  $T$ , a vector group. Then  $G$  also acts on  $X$  via the quotient map  $G \rightarrow T$  (whose kernel  $N$  can be identified with the free abelian group  $\pi_1(T)$ ). In our situation there are three Brauer groups to consider:  $\text{Br}(X) \cong H^3(X, \mathbb{Z})$ ,  $\text{Br}_T(X)$ , and  $\text{Br}_G(X)$ . It turns out, however, that  $\text{Br}_T(X)$  is rather uninteresting, as it is naturally isomorphic to  $\text{Br}(Z)$  [14, §6.2]. Again by [14, §6.2], the natural “forgetful map” (forgetting the  $T$ -action)  $\text{Br}_T(X) \rightarrow \text{Br}(X)$  can simply be identified with  $p^*$ :  $\text{Br}(Z) \cong H^3(Z, \mathbb{Z}) \rightarrow H^3(X, \mathbb{Z}) \cong \text{Br}(X)$ .

Finally, we can summarize what we are interested in.

**Basic Setup 3.1.** *A spacetime  $X$  compactified over a torus  $T$  will correspond to a space  $X$  (locally compact, finite-dimensional homotopically finite) equipped with a free  $T$ -action. The quotient map  $p: X \rightarrow Z$  is a principal  $T$ -bundle. The NS 3-form  $H$  on  $X$  has an integral cohomology class  $\delta$  which corresponds to an element of  $\text{Br}(X) \cong H^3(X, \mathbb{Z})$ . A pair  $(X, \delta)$  will be a candidate for having a  $T$ -dual when the  $T$ -symmetry of  $X$  lifts to an action of the vector group  $G$  on  $CT(X, \delta)$ , or in other words, when  $\delta$  lies in the image of the forgetful map  $F: \text{Br}_G(X) \rightarrow \text{Br}(X)$ .*

### 4. Structure of the Equivariant Brauer Group and T-Duality

Throughout this section, the above Basic Setup 3.1 will be in force. We let  $n = \dim T$ , the dimension of the tori involved.

*4.1. Review of the case  $n = 1$ .* The case  $n = 1$  was treated in [31, Theorem 4.12], from a purely  $C^*$ -algebraic perspective, in [5], from a combined mathematical and physical perspective, and in [6] from a more physical point of view. In this case,  $G = \mathbb{R}$ ,  $T = \mathbb{T} = \mathbb{R}/\mathbb{Z}$ , and  $N = \mathbb{Z}$ . By [14, Cor. 6.1], the forgetful map  $F: \text{Br}_G(X) \rightarrow \text{Br}(X)$  is an isomorphism, and thus every  $\delta \in H^3(X, \mathbb{Z})$  is dualizable, in fact in a unique way. It is proven in [5] that the T-dual of the pair  $(p: X \rightarrow Z, \delta)$  is a pair  $(p^\# : X^\# \rightarrow Z, \delta^\#)$ , where  $X^\#$  is another principal circle bundle over  $Z$  and  $\delta^\# \in H^3(X^\#, \mathbb{Z})$ . Furthermore, there is a beautiful symmetry in this situation. Principal  $\mathbb{T}$ -bundles over  $Z$  are classified by their Euler class in  $H^2(Z, \mathbb{Z})$ , or equivalently by the first Chern class of the associated complex line bundle. So let  $[p], [p^\#] \in H^2(Z, \mathbb{Z})$  be the characteristic classes of the two circle bundles. One has

$$p!(\delta) = [p^\#], \quad (p^\#)!(\delta^\#) = [p], \tag{1}$$

where  $p_!$  and  $(p^\#)_!$  are the push-forward maps in the Gysin sequences of the two bundles. At the level of forms,  $p_!$  and  $(p^\#)_!$  are simply “integration over the fiber,” which reduces the degree of a form by one.

Furthermore, the crossed product  $CT(X, \delta) \rtimes \mathbb{R}$  is isomorphic to  $CT(X^\#, \delta^\#)$ , and  $CT(X^\#, \delta^\#) \rtimes \mathbb{R}$  is isomorphic to  $CT(X, \delta)$ . In fact, the  $\mathbb{R}$ -action on  $CT(X^\#, \delta^\#)$  may be chosen to be the dual action on the crossed product. If one takes the crossed product  $CT(X, \delta) \rtimes \mathbb{Z}$  by the  $\mathbb{R}$ -action restricted to  $\mathbb{Z} = \ker(\mathbb{R} \rightarrow \mathbb{T})$ , or the similar crossed product  $CT(X^\#, \delta^\#) \rtimes \mathbb{Z}$ , the result is

$$CT(X \times_Z X^\#, p^*(\delta^\#) = (p^\#)^*(\delta)).$$

Thus one obtains a commutative diagram of principal  $\mathbb{T}$ -bundles

$$\begin{array}{ccc}
 & X \times_Z X^\# & \\
 p^*(p^\#) \swarrow & & \searrow (p^\#)^*(p) \\
 X & & X^\# \\
 p \searrow & & \swarrow p^\# \\
 & Z &
 \end{array}
 \tag{2}$$

Finally, we get the desired isomorphisms of twisted  $K$ -theory and of twisted homology by using the above results on crossed products and applying Connes’ Thom isomorphism theorem [11] and its analogue in cyclic homology, due to Elliott, Natsume, and Nest [19]. The final result, found in [5], is a commutative diagram

$$\begin{array}{ccc}
 K^{\bullet+1}(X, \delta) & \xrightarrow[\cong]{T_!} & K^\bullet(X^\#, \delta^\#) \\
 \downarrow \text{Ch} & & \downarrow \text{Ch} \\
 H^{\bullet+1}(X, \delta) & \xrightarrow[\cong]{T_*} & H^\bullet(X^\#, \delta^\#).
 \end{array}
 \tag{3}$$

Here Ch is the Chern character, which is an isomorphism after tensoring with  $\mathbb{R}$ , and homology should be  $\mathbb{Z}/2$ -graded (i.e., we lump together all the even cohomology and all the odd cohomology). Since this duality interchanges even and odd  $K$ -theory, it also exchanges type IIa and type IIb string theories.

*4.2. Features of the general case.* We return again to the Basic Setup 3.1, but now with  $T$  a torus of arbitrary dimension  $n$ , so  $G \cong \mathbb{R}^n$ . When  $n > 1$ , it is no longer true that the forgetful map  $F : \text{Br}_G(X) \rightarrow \text{Br}(X)$  is an isomorphism. However, some facts about this map are contained in [14] and in [28]. We briefly summarize a few of these results, specialized to the case where  $G$  is connected (which forces  $G$  to act trivially on the cohomology of  $X$ ). So as to avoid confusion between cohomology of spaces and of topological groups, we have denoted by  $H_M^\bullet(G, A)$  the cohomology of the topological group  $G$  with coefficients in the topological  $G$ -module  $A$ , as defined in [26]. This is sometimes called “Moore cohomology” or “cohomology with Borel cochains.”

**Theorem 4.1 ([14, Theorem 5.1]).** *Suppose  $G$  is a connected Lie group and  $X$  is a locally compact  $G$ -space (satisfying our finiteness assumptions). Then there is an exact sequence*

$$\text{Br}_G(X) \xrightarrow{F} \ker(d_2) \xrightarrow{d_3} H_M^3(G, C(X, \mathbb{T})) / \text{im}(d'_2),$$

where

$$d_2: H^3(X, \mathbb{Z}) \rightarrow H_M^2(G, H^2(X, \mathbb{Z}))$$

and

$$d'_2: H_M^1(G, H^2(X, \mathbb{Z})) \rightarrow H_M^3(G, C(X, \mathbb{T})).$$

In addition, there is an exact sequence

$$H^2(\mathbb{Z}, \mathbb{Z}) \xrightarrow{d''_2} H_M^2(G, C(X, \mathbb{T})) \xrightarrow{\xi} \ker F \xrightarrow{\eta} H_M^1(G, H^2(X, \mathbb{Z})).$$

Fortunately, since in our situation  $G$  is a vector group and is thus contractible,  $H_M^\bullet(G, A)$  vanishes when  $A$  is discrete, thanks to:

**Theorem 4.2 ([38, Theorem 4]).** *If  $G$  is a Lie group and  $A$  is a discrete  $G$ -module, then  $H_M^\bullet(G, A)$  is canonically isomorphic to  $H^\bullet(BG, \underline{A})$  (the sheaf cohomology of the classifying space  $BG$  with coefficients in the locally constant sheaf defined by  $A$ ).*

**Corollary 4.3.** *If  $G$  is a vector group and if  $A$  is a discrete abelian group on which  $G$  acts trivially, then  $H_M^\bullet(G, A) = 0$  for  $\bullet > 0$ .*

*Proof.* Since the action of  $G$  on  $A$  is trivial, the sheaf  $\underline{A}$  is constant and can be replaced by  $A$ . Since  $BG$  is contractible,  $H^\bullet(BG, A) = 0$ .

Substituting Corollary 4.3 into Theorem 4.1, we obtain (since our finiteness assumption on  $X$  implies  $H^2(X, \mathbb{Z})$  is countable and discrete):

**Theorem 4.4.** *Suppose  $G \cong \mathbb{R}^n$  is a vector group and  $X$  is a locally compact  $G$ -space (satisfying our finiteness assumptions). Then there is an exact sequence:*

$$H^2(X, \mathbb{Z}) \xrightarrow{d''_2} H_M^2(G, C(X, \mathbb{T})) \xrightarrow{\xi} \text{Br}_G(X) \xrightarrow{F} H^3(X, \mathbb{Z}) \xrightarrow{d_3} H_M^3(G, C(X, \mathbb{T})).$$

This still leaves one set of Moore cohomology groups to calculate, namely

$$H_M^\bullet(G, C(X, \mathbb{T})), \quad \bullet = 2, 3.$$

For purposes of doing this calculation, it is convenient to use the exact sequence of  $G$ -modules:

$$0 \rightarrow H^0(X, \mathbb{Z}) \rightarrow C(X, \mathbb{R}) \rightarrow C(X, \mathbb{T}) \rightarrow H^1(X, \mathbb{Z}) \rightarrow 0. \tag{4}$$

This is just the start of the long exact cohomology sequence for the exact sequence of sheaves

$$0 \rightarrow \mathbb{Z} \rightarrow \underline{\mathbb{R}} \rightarrow \underline{\mathbb{T}} \rightarrow 0.$$

Our finiteness assumption on  $X$  implies that the cohomology groups of  $X$  are countable and discrete. So by Corollary 4.3 again,  $H^0(X, \mathbb{Z})$  and  $H^1(X, \mathbb{Z})$  are cohomologically trivial (for  $H_M^\bullet(G, -)$ ), and thus

$$H_M^\bullet(G, C(X, \mathbb{T})) \cong H_M^\bullet(G, C(X, \mathbb{R})), \quad \bullet > 1. \tag{5}$$

Finally, for computing the latter we can use another result from [38]:

**Theorem 4.5 ([38, Theorem 3]).** *If  $G$  is a Lie group and  $A$  is a  $G$ -module which is a topological vector space, then  $H_M^\bullet(G, A)$  agrees with “continuous cohomology”  $H_{\text{cont}}^\bullet(G, A)$ , the cohomology of the complex of continuous cochains.*

On the other hand, “continuous cohomology” for modules which are topological vector spaces is well studied, so we can apply:

**Theorem 4.6 (“Generalized van Est” [23, Cor. III.7.5] or [29]).** *If  $G$  is a connected Lie group and  $A$  is a  $G$ -module which is a complete metrizable topological vector space, then  $H_{\text{cont}}^\bullet(G, A)$  agrees with the relative Lie algebra cohomology  $H_{\text{Lie}}^\bullet(\mathfrak{g}, \mathfrak{k}; A_\infty)$ , where  $\mathfrak{g}$  is the Lie algebra of  $G$ ,  $\mathfrak{k}$  is the Lie algebra of a maximal compact subgroup  $K$ , and  $A_\infty$  is the set of smooth vectors in  $A$  (for the action of  $G$ ).*

**Corollary 4.7.** *If  $G$  is a vector group with Lie algebra  $\mathfrak{g}$ , and if  $A$  is a  $G$ -module which is a complete metrizable topological vector space, then  $H_{\text{cont}}^\bullet(G, A) \cong H_{\text{Lie}}^\bullet(\mathfrak{g}, A_\infty)$ . In particular, it vanishes for  $\bullet > \dim G$ .*

*Proof.* For a vector group,  $K$  is trivial. Lie algebra cohomology is computed from the complex  $\text{Hom}(\wedge^\bullet \mathfrak{g}, A_\infty)$ , which vanishes for  $\bullet > \dim G$ .

**4.3. Calculations for the case  $n = 2$ .** We now specialize our Basic Setup 3.1 to the case where  $n = 2$ , i.e.,  $p: X \rightarrow Z$  is a principal  $\mathbb{T}^2$ -bundle, and now  $G = \mathbb{R}^2$ . We apply Theorem 4.4. But since  $H_M^3(G, C(X, \mathbb{T})) \cong H_M^3(G, C(X, \mathbb{R}))$  (by Eq. (5)), to which we can apply Theorem 4.5 and Corollary 4.7, we obtain:

**Proposition 4.8.** *If  $G = \mathbb{R}^2$  and  $X$  is a  $G$ -space as above, then  $H_M^3(G, C(X, \mathbb{T}))$  vanishes and the forgetful map  $F: \text{Br}_G(X) \rightarrow H^3(X, \mathbb{Z})$  is surjective.*

Furthermore, we can also explicitly compute  $H_M^2(G, C(X, \mathbb{T}))$ , because of the following:

**Lemma 4.9.** *If  $G = \mathbb{R}^2$  and  $X$  is a  $G$ -space as in the Basic Setup 3.1, then the maps  $p^*: C(Z, \mathbb{R}) \rightarrow C(X, \mathbb{R})$  and “averaging along the fibers of  $p$ ”  $\int: C(X, \mathbb{R}) \rightarrow C(Z, \mathbb{R})$  (defined by  $\int f(z) = \int_T f(g \cdot x) dg$ , where  $dg$  is Haar measure on the torus  $T$  and we choose  $x \in p^{-1}(z)$ ) induce isomorphisms*

$$H_M^2(G, C(X, \mathbb{R})) \xrightarrow{\cong} H_M^2(G, C(Z, \mathbb{R})) \cong C(Z, \mathbb{R})$$

which are inverses to one another.

*Proof.* We apply Theorem 4.6. Note that the  $G$ -action on  $C(Z, \mathbb{R})$  is trivial, so every element of  $C(Z, \mathbb{R})$  is smooth for the action of  $G$ . But since  $\dim G = 2$ , we have for any real vector space  $V$  with trivial  $G$ -action the isomorphisms

$$H_M^2(G, V) \cong H_{\text{Lie}}^2(\mathfrak{g}, V) \cong H_{\text{Lie}}^2(\mathfrak{g}, \mathbb{R}) \otimes V \cong V,$$

since  $H_{\text{Lie}}^2(\mathfrak{g}, \mathbb{R}) \cong (\wedge^2 \mathfrak{g})^* \cong \mathbb{R}$ .

Clearly  $\int \circ p^*$  is the identity on  $C(Z, \mathbb{R})$ , so we need to show  $p^* \circ \int$  induces an isomorphism on  $C(X, \mathbb{R})$ . The calculation turns out to be local, so by a Mayer-Vietoris argument we can reduce to the case where  $p$  is a trivial bundle, i.e.,  $X = (G/N) \times Z$ ,

with  $N = \mathbb{Z}^2$  and  $G$  acting only on the first factor. The smooth vectors in  $C(X, \mathbb{R})$  for the action of  $G$  can then be identified with  $C(Z, C^\infty(G/N))$ . So we obtain

$$H_M^2(G, C(X, \mathbb{R})) \cong H_{\text{Lie}}^2(\mathfrak{g}, C(Z, C^\infty(G/N))) \cong C\left(Z, H_{\text{Lie}}^2(\mathfrak{g}, C^\infty(G/N))\right),$$

with the cohomology moving inside since  $G$  acts trivially on  $Z$ . However, by Poincaré duality for Lie algebra cohomology,

$$H_{\text{Lie}}^2(\mathfrak{g}, C^\infty(G/N)) \cong H_0^{\text{Lie}}(\mathfrak{g}, C^\infty(G/N)),$$

which is the quotient of  $C^\infty(G/N)$  by all derivatives  $X \cdot f$ ,  $X \in \mathfrak{g}$  and  $f \in C^\infty(G/N)$ . This quotient is  $\mathbb{R}$  by the de Rham theorem, since  $f(g) d\text{vol}(g)$  is exact on  $T$  exactly when  $f$  is constant. And it's easy to check that the isomorphism  $H_M^2(G, C(X, \mathbb{R})) \cong C(Z, \mathbb{R})$  is induced by  $\int$ .

**Theorem 4.10.** *In Basic Setup 3.1 with  $n = 2$ , there is a commutative diagram of exact sequences:*

$$\begin{array}{ccccccc}
 & & H^0(Z, \mathbb{Z}) & & 0 & & \\
 & & \downarrow & & \downarrow & & \\
 H^2(X, \mathbb{Z}) & \xrightarrow{d_2''} & H_M^2(G, C(X, \mathbb{T})) & \xrightarrow{\xi} & \ker F & \xrightarrow{\eta} & 0 \\
 & & \downarrow a & & \downarrow & & \\
 & & C(Z, H_M^2(\mathbb{Z}^2, \mathbb{T})) & \xleftarrow{M} & \text{Br}_G(X) & & \\
 & & \downarrow h & & \downarrow & & \\
 & & H^1(Z, \mathbb{Z}) & \xleftarrow{p!} & H^3(X, \mathbb{Z}) & & \\
 & & \downarrow & & \downarrow & & \\
 & & 0 & & 0 & & 
 \end{array}$$

Here  $M: \text{Br}_G(X) \rightarrow C(Z, H_M^2(\mathbb{Z}^2, \mathbb{T})) \cong C(Z, \mathbb{T})$  is the Mackey obstruction map defined in [28], and  $h: C(Z, \mathbb{T}) \rightarrow H^1(X, \mathbb{Z})$  is the map sending a continuous function  $Z \rightarrow S^1$  to its homotopy class. The definitions of the dotted arrows will be given in the course of the proof.

*Proof.* Most of this is immediate from Theorem 4.4 together with Proposition 4.8. There are just a few more things to check. First we define the dotted arrows in the diagram. The arrow  $p!: H^3(X, \mathbb{Z}) \rightarrow H^1(Z, \mathbb{Z})$  is “integration over the fibers” of the bundle  $T^2 \rightarrow X \xrightarrow{p} Z$ ; more specifically, it is the projection of  $H^3(X, \mathbb{Z})$  onto  $E_\infty^{1,2}$  in the Serre spectral sequence of  $p$ . Since  $E_\infty^{1,2} \subseteq E_2^{1,2} = H^1(Z, H^2(T^2, \mathbb{Z}))$ , we can think of the image as lying in  $H^1(Z, \mathbb{Z})$ . In fact,

$$E_\infty^{1,2} \subseteq E_3^{1,2} = \ker d_2: H^1(Z, H^2(T^2, \mathbb{Z})) \rightarrow H^3(Z, H^1(T^2, \mathbb{Z})) \cong H^3(Z, \mathbb{Z}^2),$$

and this map  $d_2$  can be identified with the cup product with  $[p] \in H^2(Z, \mathbb{Z}^2)$ .



Next we define the downward dotted arrow  $a$  using Lemma 4.9. It is simply the following composite:

$$H_M^2(G, C(X, \mathbb{T})) \xrightarrow[\cong]{\text{eq. (5)}} H_M^2(G, C(X, \mathbb{R})) \xrightarrow[\cong]{\text{Lemma 4.9}} C(Z, \mathbb{R}) \xrightarrow{\text{exp}} C(Z, \mathbb{T}).$$

Exactness of the middle downward sequence

$$H^0(Z, \mathbb{Z}) \rightarrow H_M^2(G, C(X, \mathbb{T})) \xrightarrow{a} C(Z, \mathbb{T}) \xrightarrow{h} H^1(Z, \mathbb{Z})$$

follows immediately from (4) with  $X$  replaced by  $Z$ .

We still need to check commutativity of the squares. As far as the upper square is concerned, the key fact is that the restriction map

$$\mathbb{R} \cong H_M^2(\mathbb{R}^2, \mathbb{T}) \rightarrow H_M^2(\mathbb{Z}^2, \mathbb{T}) \cong \mathbb{T}$$

is surjective and can be identified with the exponential map (see the Hochschild-Serre spectral sequence

$$H_M^p(\mathbb{R}^2/\mathbb{Z}^2, H_M^q(\mathbb{Z}^2, \mathbb{T})) \Rightarrow H_M^\bullet(\mathbb{R}^2, \mathbb{T})$$

of [25] for a method of calculation). To check commutativity for the upper square, choose a Borel cocycle  $\omega \in Z_M^2(G, C(X, \mathbb{T}))$  representing a class in  $H_M^2(G, C(X, \mathbb{T}))$ . By Lemma 4.9, we may assume  $\omega$  takes its values in functions constant on  $T$ -orbits, i.e., pulled back from  $C(Z, \mathbb{T})$  via  $p^*$ . As in [14, Theorem 5.1(3)], choose a Borel map  $u \rightarrow \mathcal{UM}(C_0(X, \mathcal{K}))$  satisfying

$$u_s \tau_s(u_t) = \omega(s, t) u_{s+t}, \quad s, t \in G.$$

(Here  $\tau$  is the action of  $G$  on  $X$ .) Then by the prescription in [28],  $\xi([\omega])$  is given by  $C_0(X, \mathcal{K})$  with the  $G$ -action  $s \mapsto (\text{Ad } u_s) \tau_s$ . We need to compute the Mackey obstruction for the restriction of the action to  $N = \mathbb{Z}^2$ . But this is just given by  $z \mapsto M(u_z)$ , the Mackey obstruction of the projective unitary representation of  $N$  defined by  $u$  over a point  $z \in Z$ . But as the cocycle of the representation is just  $\omega$  restricted to  $z$  (this makes sense since we took  $\omega$  to have values constant on  $G$ -orbits), we can use the above fact about restricting the Moore cohomology from  $G$  to  $N$  to deduce that  $M(\xi([\omega])) = a([\omega])$ .

Finally we need to check commutativity of the bottom square. This amounts to showing that if we have an action  $\alpha$  of  $G$  on  $CT(X, \delta)$  representing an element of  $\text{Br}_G(X)$ , then  $h \circ M(\alpha) = p_!(\delta)$ . (In the case where  $M(\alpha)$  is trivial, this is basically in [28].) First of all, we note that  $h \circ M(\alpha)$  can only depend on  $\delta$ , not on the choice of the action  $\alpha$  on  $CT(X, \delta)$ . The reason is that any two different actions differ by an element of  $\ker F$ , which by the rest of the diagram is in the image of  $H_M^2(G, C(X, \mathbb{T})) \cong C(Z, \mathbb{R})$ . By commutativity of the upper square, this only changes  $M(\alpha)$  within its homotopy class. Since we already know  $\text{Br}_G(X) \rightarrow H^3(X, \mathbb{Z})$  is surjective, it follows that  $h \circ M$  induces a homomorphism from  $H^3(X, \mathbb{Z}) \rightarrow H^1(Z, \mathbb{Z})$ . This map is trivial on  $p^*(H^3(Z, \mathbb{Z}))$ , since this part of  $H^3(X, \mathbb{Z})$  is represented by  $G$ -actions where  $N = \mathbb{Z}^2$  acts trivially [14, §6.2]. And of course when  $N$  acts trivially, there is no Mackey obstruction.

Next we show that the map  $H^3(X, \mathbb{Z}) \rightarrow H^1(Z, \mathbb{Z})$  induced by  $h \circ M$  vanishes on the  $E_\infty^{2,1}$  subquotient of the spectral sequence. This consists (modulo classes pulled back from  $H^3(Z, \mathbb{Z})$ ) of classes pulled back from some intermediate space  $Y$ , where  $X \xrightarrow{p_1} Y \xrightarrow{p_2} Z$  is some factorization of the  $T^2$ -bundle  $p: X \rightarrow Z$  as a composite of

two principal  $S^1$ -bundles. But given such a factorization and a class  $\delta_Y \in Y$ , there is an essentially unique action of  $\mathbb{R}$  on  $CT(Y, \delta_Y)$  compatible with the  $S^1$ -action on  $Y$  with quotient  $Z$ , because of the results of Sect. 4.1. Pulling back from  $Y$  to  $X$ , we get an action of  $\mathbb{R} \times \mathbb{T}$  on  $CT(X, p_1^* \delta_Y)$ , or in other words an action of  $G$  factoring through  $\mathbb{R} \times \mathbb{T}$ . Such an action necessarily has trivial Mackey obstruction.

So it follows that the map induced by  $h \circ M$  factors through the remaining subquotient of  $H^3(Z, \mathbb{Z})$ , i.e.,  $E_\infty^{1,2}$ . That says exactly that the map factors through  $p_1$ . By naturality, it must be a multiple of  $p_1$ , and we just need to compute in the case of a trivial bundle to verify that the multiple is 1. Thus the proof is completed with the following Proposition 4.11.

**Proposition 4.11.** *Let  $p: X = Z \times \mathbb{T}^2 \rightarrow Z$  be a trivial  $\mathbb{T}^2$ -bundle, let  $\beta \in H^1(Z, \mathbb{Z})$ , and let  $\delta = \beta \times \gamma \in H^3(X, \mathbb{Z})$ , where  $\gamma$  is the usual generator of  $H^2(\mathbb{T}^2, \mathbb{Z}) \cong \mathbb{Z}$ . Then there is an action  $\alpha$  of  $G = \mathbb{R}^2$  on  $CT(X, \delta)$ , compatible with the free  $\mathbb{T}^2$ -action on  $X$ , for which  $h \circ M(\alpha) = \beta$ .*

*Proof.* Choose a function  $f: Z \rightarrow \mathbb{T}$  with  $h(f) = \beta$ . Let  $\mathcal{H} = L^2(\mathbb{T})$  and for  $z \in Z$ , consider the projective unitary representation  $\rho_{f(z)}: \mathbb{Z}^2 \rightarrow PU(\mathcal{H})$  defined by sending the first generator of  $\mathbb{Z}^2$  to multiplication by the identity map  $\mathbb{T} \rightarrow \mathbb{T} \hookrightarrow \mathbb{C}$ , and the second generator to translation by  $f(z) \in \mathbb{T}$ . Then the Mackey obstruction of  $\rho_{f(z)}$  is  $f(z) \in \mathbb{T} \cong H^2(\mathbb{Z}^2, \mathbb{T})$ . We can view  $\rho$  as a spectrum-fixing automorphism of  $\mathbb{Z}^2$  on  $C(Z, \mathcal{K}(\mathcal{H}))$ , which is given at the point  $z \in Z$  by  $\text{Ad } \rho_{f(z)}$ . We now let  $(A, \alpha)$  be the  $C^*$ -dynamical system obtained by inducing up  $(C(Z, \mathcal{K}(\mathcal{H})), \rho)$  from  $\mathbb{Z}^2$  to  $\mathbb{R}^2$ . More precisely,

$$A = \text{Ind}_{\mathbb{Z}^2}^{\mathbb{R}^2} (C(Z, \mathcal{K}(\mathcal{H})), \rho) = \{f: \mathbb{R}^2 \rightarrow C(Z, \mathcal{K}(\mathcal{H})) : f(t + g) = \rho(g)(f(t)), t \in \mathbb{R}^2, g \in \mathbb{Z}^2\}.$$

Since  $\rho$  acts trivially on the spectrum  $Z$  of the inducing algebra and  $A$  is an algebra of sections of a locally trivial bundle of  $C^*$ -algebras with fibers isomorphic to  $\mathcal{K}$ ,  $A$  is a continuous-trace algebra having spectrum  $Z \times \mathbb{T}^2$ . There is a natural action  $\alpha$  of  $\mathbb{R}^2$  on  $A$  by translation, and by construction,  $M(\alpha) = f$ . We just need to compute the Dixmier-Douady invariant of  $A$ . We get it by “inducing in stages”. Let  $B = \text{Ind}_{\mathbb{Z}}^{\mathbb{R}} C(Z, \mathcal{K}(\mathcal{H}))$  be the result of inducing over the first copy of  $\mathbb{R}$ . Since the first generator of  $\mathbb{Z}^2$  was always acting by conjugation by multiplication by the identity map  $\mathbb{T} \rightarrow \mathbb{T}$  on  $L^2(\mathbb{T})$ , one can see that  $B$  is a trivial continuous-trace algebra, viz.,  $B \cong C_0(Z \times \mathbb{T}, \mathcal{K}(\mathcal{H}))$ . We still have another action of  $\mathbb{Z}$  on  $B$  coming from the second generator of  $\mathbb{Z}^2$ , and  $A = \text{Ind}_{\mathbb{Z}}^{\mathbb{R}} B$ , where we induce over the second copy of  $\mathbb{R}$  to get  $A$ . The action of  $\mathbb{Z}$  acts on  $B$  is by means of a map  $\sigma: Z \times \mathbb{T} \rightarrow PU(\mathcal{H}) = \text{Aut } \mathcal{K}(\mathcal{H})$ , whose value at  $(z, t)$  is the product of multiplication by  $t$  with translation by  $f(z)$ . Thus the Dixmier-Douady invariant of  $A$  is then  $[\sigma] \times c$ , where  $[\sigma] \in H^2(Z \times \mathbb{T}, \mathbb{Z})$  is the homotopy class of  $\sigma: Z \times \mathbb{T} \rightarrow PU(\mathcal{H}) = K(\mathbb{Z}, 2)$  and  $c$  is the usual generator of  $H^1(S^1, \mathbb{Z})$ . But  $[\sigma]$  is now  $h(f) \times c$ , so the Dixmier-Douady class of  $A$  is  $\beta \times c \times c = \beta \times \gamma$ .

**4.4. Applications to T-duality.** Now we are ready to apply Theorem 4.10 to T-duality in type II string theory. First we need a definition.

**Definition 4.12.** *Let  $p: X \rightarrow Z$  be a principal  $T$ -bundle as in the Basic Setup 3.1, and let  $\delta \in H^3(X, \mathbb{Z})$ . We will say that the pair  $(p, \delta)$  has a **classical T-dual** if there is an*

element  $[A, \alpha]$  of  $\text{Br}_G(X)$ , with  $A$  a continuous-trace algebra over  $X$  with Dixmier-Douady class  $\delta$ , and with  $\alpha$  an action of  $G$  on  $A$  inducing the given free action of  $T = G/N$  on  $X$ , such that the crossed product  $A \rtimes G$  is again a continuous-trace algebra over some other principal torus bundle over  $Z$ , with the dual action of  $\widehat{G}$  inducing the bundle projection to  $Z$ .

This definition is essentially equivalent to that in [7]; we will say more about this later in Remark 4.15.

The following is the main result of this paper.

**Theorem 4.13.** *Let  $p: X \rightarrow Z$  be a principal  $\mathbb{T}^2$ -bundle as in the Basic Setup 3.1. Let  $\delta \in H^3(X, \mathbb{Z})$  be an “H-flux” on  $X$ . Then:*

1. *If  $p_!\delta = 0 \in H^1(Z, \mathbb{Z})$ , then there is a (uniquely determined) classical T-dual to  $(p, \delta)$ , consisting of  $p^\# : X^\# \rightarrow Z$ , which is a another principal  $\mathbb{T}^2$ -bundle over  $Z$ , and  $\delta^\# \in H^3(X^\#, \mathbb{Z})$ , the “T-dual H-flux” on  $X^\#$ . One obtains a picture exactly like Eq. (2).*
2. *If  $p_!\delta \neq 0 \in H^1(Z, \mathbb{Z})$ , then a classical T-dual as above does not exist. However, there is a “nonclassical” T-dual bundle of noncommutative tori over  $Z$ . It is not unique, but the non-uniqueness does not affect its K-theory.*

*Proof.* By Theorem 4.10, the map  $F: \text{Br}_G(X) \rightarrow H^3(X, \mathbb{Z})$  is always surjective. This will be the key to the proof.

First consider the case when  $p_!\delta = 0 \in H^1(Z, \mathbb{Z})$ . This case is considered in [7], but we will redo the results using Theorem 4.10. By commutativity of the lower square, we can lift  $\delta \in H^3(X, \mathbb{Z})$  to an element  $[CT(X, \delta), \alpha]$  of  $\text{Br}_G(X)$  with  $M(\alpha)$  homotopically trivial. Then by using commutativity of the upper square in Theorem 4.10, we can perturb  $\alpha$ , without changing  $\delta$ , so that  $M(\alpha)$  actually vanishes. Once this is done, the element we get in  $\text{Br}_G(X)$  is actually unique. On the one hand, this can be seen from [28, Lemma 1.3] and [28, Cor. 5.18]. Alternatively, it can be read off from Theorem 4.10, since any two classes in  $\ker M$  mapping to the same  $\delta \in H^3(X, \mathbb{Z})$  differ by the image under  $\xi$  of something in  $\ker a$ . Thus they differ by the image under  $\xi$  of an  $\mathbb{Z}$ -valued cocycle, which is trivial since such a cocycle exponentiates to the trivial cocycle with values in  $\mathbb{T}$ , and this is all that is used in the construction of  $\xi$  in [14]. Finally, if  $[CT(X, \delta), \alpha]$  has trivial Mackey obstruction, then as explained in [28, §1],  $CT(X, \delta) \rtimes_\alpha G$  has continuous trace and has spectrum which is another principal torus bundle over  $Z$  (for the dual torus,  $\widehat{G}$  divided by the dual lattice).

Now consider the case when

$$p_!\delta \neq 0 \in H^1(Z, \mathbb{Z}). \tag{6}$$

It is still true as before that we can find an element  $[CT(X, \delta), \alpha]$  in  $\text{Br}_G(X)$  corresponding to  $\delta$ . But there is no classical T-dual in this situation since the Mackey obstruction *can't* be trivial, because of Theorem 4.10. In fact, since any representative  $f: Z \rightarrow \mathbb{T}$  of a non-zero class in  $H^1(Z, \mathbb{Z})$  must take on all values in  $\mathbb{T}$ , there are necessarily points  $z \in Z$  for which the Mackey obstruction in  $H^2(\mathbb{Z}^2, \mathbb{T}) \cong \mathbb{T}$  is irrational, and hence the crossed product  $CT(X, \delta) \rtimes_\alpha G$  cannot be type I. Nevertheless, we can view this crossed product as a *non-classical* T-dual to  $(p, \delta)$ . The crossed product can be viewed as the algebra of sections of a bundle of algebras (not locally trivial) over  $Z$ , in the sense of [15]. The fiber of this bundle over  $z \in Z$  will be  $C(p^{-1}(z), \mathcal{K}(\mathcal{H})) \rtimes G \cong C(G/\mathbb{Z}^2, \mathcal{K}(\mathcal{H})) \rtimes G \cong A_{f(z)} \otimes \mathcal{K}(\mathcal{H})$ , which is Morita equivalent to the twisted group  $C^*$ -algebra  $A_{f(z)}$  of the stabilizer group  $\mathbb{Z}^2$  for the

Mackey obstruction class  $f(z)$  at that point. In other words, the T-dual will be realized by a bundle of (stabilized) *noncommutative tori* fibered over  $Z$ . (See Fig. 1.)

The bundle is not unique since there is no *canonical* representative  $f$  for a given non-zero class in  $H^1(X, \mathbb{Z})$ . However, any two choices are homotopic, and the resulting bundles will be in some sense homotopic to one another.

As expected, our notion of T-duality comes with isomorphisms in twisted  $K$ -theory and (periodic cyclic) homology:

**Theorem 4.14.** *In the situation of Theorem 4.13, if  $X$  is a manifold,  $H$  is an integral 3-form representing  $\delta$  (in de Rham cohomology), and we choose a smooth model for  $CT(X, \delta)$  (by taking a smooth bundle over  $X$  with fibers the smoothing operators), we have a commutative diagram*

$$\begin{CD}
 K^\bullet(X, H) @>T_!>> K_\bullet(CT(X, \delta) \rtimes \mathbb{R}^2) \\
 @VCh_HVV @VVChV \\
 H^\bullet(X, H) @>T_*>> HP_\bullet(CT(X, \delta)^\infty \rtimes \mathbb{R}^2)
 \end{CD} \tag{7}$$

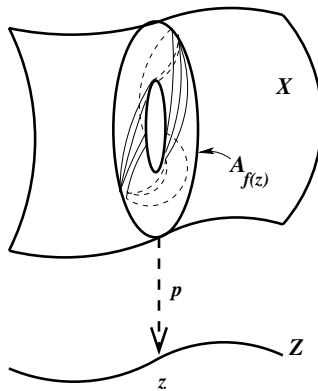
where the horizontal arrows are isomorphisms,  $Ch_H$  is the twisted Chern character and  $Ch$  is the Connes-Chern character [12].

When  $p_1\delta = 0$  and there is a classical T-dual, this reduces to a diagram like Eq. (3), except that there is no degree shift since the tori are even-dimensional.

*Proof.* This is done almost exactly as in [5], so we will be brief. We have the isomorphisms in  $K$ -theory

$$\begin{aligned}
 K^\bullet(X, H) &\cong K_\bullet(CT(X, \delta)) \\
 &\cong K_\bullet(CT(X, \delta) \rtimes \mathbb{R}^2) \quad (\text{Connes-Thom isomorphism [11]}).
 \end{aligned}$$

We can also consider the smooth subalgebra  $CT(X, \delta)^\infty \rtimes G$ . The fiber at  $z \in Z$  is given by  $C^\infty(p^{-1}(z), \mathcal{K}^\infty(\mathcal{H})) \rtimes G \cong C^\infty(G/\mathbb{Z}^2, \mathcal{K}^\infty(\mathcal{H})) \rtimes G \cong A_{f(z)}^\infty \otimes \mathcal{K}^\infty(\mathcal{H})$ ,



**Fig. 1.** In the diagram, the fiber over  $z \in Z$  is the noncommutative torus  $A_{f(z)}$ , which is represented by a foliated torus, with foliation angle equal to  $f(z)$

where  $\mathcal{K}^\infty(\mathcal{H})$  is the algebra of smoothing operators on  $\mathcal{H}$  and  $A_{f(z)}^\infty$  is the smooth noncommutative torus with multiplier equal to  $f(z)$ .

Then we have the isomorphisms

$$\begin{aligned} H^\bullet(X, H) &\cong HP_\bullet(CT(X, \delta)^\infty) \\ &\cong HP_\bullet(CT(X, \delta)^\infty \rtimes \mathbb{R}^2) \end{aligned} \quad (\text{ENN-Thom isomorphism [19]}).$$

It is well known that the Chern character is compatible with the isomorphisms in  $K$ -theory and cohomology, from which the commutativity of the diagram in (7) follows.

*Remark 4.15.* The reader might wonder what happened to the dual H-flux  $H^\#$  in the context of Theorem 4.13(2). It doesn't really make sense as a cohomology class or differential form since the nonclassical T-dual is not a space; rather, it is subsumed in the noncommutative structure of the dual.

Now let us describe the relationship between our Definition 4.12 and Theorem 4.13 and the corresponding notions in [7]. If the pair  $(p: X \rightarrow Z, \delta)$  is T-dualizable in the sense of [7], that means  $\delta$  is represented by a closed 3-form  $H$ , such that  $\iota_\Xi H = p^* \widehat{F}(\Xi)$ , for some integral closed 2-form  $\widehat{F}$  with values in the dual of  $\mathfrak{g}$ , the Lie algebra of  $T$ , and for all  $\Xi \in \mathfrak{g}$ . This essentially means that when we integrate  $H$  over the fibers of  $p_1$ , where  $X \xrightarrow{p_1} Y \xrightarrow{p_2} Z$  is a factorization of  $p$  into two circle bundles, then the resulting 2-form is pulled back from  $Z$ . This implies in turn that integrating  $H$  over the fibers of  $p$  gives 0, which is the condition  $p_1[H] = 0$ . (We do not need to worry about torsion in cohomology since  $p_1\delta$  lies in  $H^1(Z, \mathbb{Z})$ , which is always torsion-free.) Thus the condition in our Theorem 4.13(1) is satisfied.

Conversely, suppose our condition  $p_1\delta = 0$  is satisfied, so we have a classical T-dual  $(p^\#: X^\# \rightarrow Z, \delta^\#)$ . The condition of [7] that  $\iota_\Xi H = p^* \widehat{F}(\Xi)$ , for some closed integral 2-form  $\widehat{F}$  with values in the dual of  $\mathfrak{g}$  and for all  $\Xi \in \mathfrak{g}$ , will follow from the fact that since  $p_1\delta = 0$  (and we can divide out by trivial cases where  $\delta$  is pulled back from  $Z$ ),  $\delta$  comes from the  $E_\infty^{2,1}$  subquotient of  $H^3(X, \mathbb{Z})$ .

### 5. Examples: Torus Bundles and Noncommutative Torus Bundles over the Circle

A famous example of a principal torus bundle with non T-dualizable H-flux is provided by  $\mathbb{T}^3$ , considered as the trivial  $\mathbb{T}^2$ -bundle over  $\mathbb{T}$ , with  $H$  given by  $k$  times the volume form on  $\mathbb{T}^3$ ,  $k \neq 0$ .  $H$  is non T-dualizable in the classical sense since  $p_1[H] \neq 0$ . Alternatively, there are no non-trivial  $\mathbb{T}^2$ -bundles over  $\mathbb{T}$ , since  $H^1(\mathbb{T}, \mathbb{T}^2) \cong H^2(\mathbb{T}, \mathbb{Z}^2) = 0$ , that is, there is no way to dualize the H-flux by a (principal) torus bundle over  $\mathbb{T}$ .

This example is covered by Theorem 4.13(2) and by Theorem 4.14. The T-dual is realized by a bundle of stabilized *noncommutative tori* fibered over  $\mathbb{T}$ . In fact the construction of the non-classical T-dual in this case is a special case of the construction in the proof of Proposition 4.11, but we repeat the details since we can make things more explicit. Let  $\mathcal{H} = L^2(\mathbb{T})$  and consider the projective unitary representation  $\rho_\theta: \mathbb{Z}^2 \rightarrow PU(\mathcal{H})$  given by the first  $\mathbb{Z}$  factor acting by multiplication by  $z^k$  (where  $\mathbb{T}$  is thought of as the unit circle in  $\mathbb{C}$ ) and the second  $\mathbb{Z}$  factor acting by translation by  $\theta \in \mathbb{T}$ . Then the Mackey obstruction of  $\rho_\theta$  is  $\theta^k \in \mathbb{T} \cong H^2(\mathbb{Z}^2, \mathbb{T})$ . Let  $\mathbb{Z}^2$  act on  $C(\mathbb{T}, \mathcal{K}(\mathcal{H}))$  by  $\alpha$ , which is given at the point  $\theta$  by  $\rho_\theta$ . Define the  $C^*$ -algebra

$$\begin{aligned} B &= \text{Ind}_{\mathbb{Z}^2}^{\mathbb{R}^2} (C(\mathbb{T}, \mathcal{K}(\mathcal{H})), \alpha) \\ &= \{f: \mathbb{R}^2 \rightarrow C(\mathbb{T}, \mathcal{K}(\mathcal{H})) : f(t+g) = \alpha(g)(f(t)), \quad t \in \mathbb{R}^2, g \in \mathbb{Z}^2\}. \end{aligned}$$

That is,  $B$  (with an implied action of  $\mathbb{R}^2$ ) is the result of inducing a  $\mathbb{Z}^2$ -action on  $C(\mathbb{T}, \mathcal{K}(\mathcal{H}))$  from  $\mathbb{Z}^2$  up to  $\mathbb{R}^2$ . Then  $B$  is a continuous-trace  $C^*$ -algebra having spectrum  $\mathbb{T}^3$ , having an action of  $\mathbb{R}^2$  whose induced action on the spectrum of  $B$  is the trivial bundle  $\mathbb{T}^3 \rightarrow \mathbb{T}$ . The crossed product algebra  $B \rtimes \mathbb{R}^2 \cong C(\mathbb{T}, \mathcal{K}(\mathcal{H})) \rtimes \mathbb{Z}^2$  has fiber over  $\theta \in \mathbb{T}$  given by  $\mathcal{K}(\mathcal{H}) \rtimes_{\rho_\theta} \mathbb{Z}^2 \cong A_\theta \otimes \mathcal{K}(\mathcal{H})$ , where  $A_\theta$  is the noncommutative 2-torus. In fact, the crossed product  $B \rtimes \mathbb{R}^2$  is Morita equivalent to  $C(\mathbb{T}, \mathcal{K}(\mathcal{H})) \rtimes \mathbb{Z}^2$  and is even isomorphic to the stabilization of this algebra (by [22]). Thus  $B \rtimes \mathbb{R}^2$  is isomorphic to  $C^*(H_{\mathbb{Z}}) \otimes \mathcal{K}$ , where  $H_{\mathbb{Z}}$  is the integer Heisenberg-type group,

$$H_{\mathbb{Z}} = \left\{ \begin{pmatrix} 1 & x & \frac{1}{k}z \\ 0 & 1 & y \\ 0 & 0 & 1 \end{pmatrix} : x, y, z \in \mathbb{Z} \right\},$$

a lattice in the usual Heisenberg group  $H_{\mathbb{R}}$  (consisting of matrices of the same form, but with  $x, y, z \in \mathbb{R}$ ). Then we have the isomorphisms in  $K$ -theory

$$\begin{aligned} K_{\bullet}(B) &= K^{\bullet}(\mathbb{T}^3, k \, d\text{vol}) && \text{(definition)} \\ &\cong K_{\bullet}(B \rtimes \mathbb{R}^2) && \text{(Connes-Thom isomorphism)} \\ &\cong K_{\bullet}(C^*(H_{\mathbb{Z}})) && \text{(above identification)} \\ &\cong K_{\bullet}(H_{\mathbb{R}}/H_{\mathbb{Z}}) && \text{(special case of the Baum-Connes conjecture}^2\text{)} \\ &\cong K^{\bullet+1}(H_{\mathbb{R}}/H_{\mathbb{Z}}) && \text{(Poincaré duality for } H_{\mathbb{R}}/H_{\mathbb{Z}}\text{)}. \end{aligned}$$

where we observe that the Heisenberg nilmanifold  $H_{\mathbb{R}}/H_{\mathbb{Z}}$  (which happens to be the classifying space  $BH_{\mathbb{Z}}$ ) is a circle bundle over  $\mathbb{T}^2$  with first Chern class equal to  $kdx \wedge dy$ .

Notice that as far as  $K$ -theory is concerned, the T-dual of  $(\mathbb{T}^3, k \, d\text{vol})$  can also be taken to be the nilmanifold  $H_{\mathbb{R}}/H_{\mathbb{Z}}$  with the trivial  $H$ -field. This is a *non-principal*  $T^2$ -bundle over  $S^1$ . But a better model for a non-classical T-dual is simply the group  $C^*$ -algebra of  $H_{\mathbb{Z}}$ .

We can also consider the smooth subalgebra  $B^\infty$  of  $B$  defined by

$$B^\infty = \text{Ind}_{\mathbb{Z}^2}^{\mathbb{R}^2} (C^\infty(\mathbb{T}, \mathcal{K}^\infty(\mathcal{H})), \alpha) = \{ f : \mathbb{R}^2 \rightarrow C^\infty(\mathbb{T}, \mathcal{K}^\infty(\mathcal{H})) : f(t + g) = \alpha(g)(f(t)), \quad t \in \mathbb{R}^2, g \in \mathbb{Z}^2 \},$$

where  $\mathcal{K}^\infty(\mathcal{H})$  denotes the algebra of smoothing operators on  $\mathbb{T}$ . Note that  $B^\infty \rtimes \mathbb{R}^2 \cong C^\infty(\mathbb{T}, \mathcal{K}^\infty(\mathcal{H})) \rtimes \mathbb{Z}^2$  has fiber over  $\theta \in \mathbb{T}$  given by  $\mathcal{K}^\infty(\mathcal{H}) \rtimes_{\rho_\theta} \mathbb{Z}^2 \cong A_\theta^\infty \otimes \mathcal{K}^\infty(\mathcal{H})$ , where  $A_\theta^\infty$  is the smooth noncommutative torus and the tensor product is the projective tensor product. In this case, the crossed product  $B^\infty \rtimes \mathbb{R}^2 \cong \mathcal{S}(H_{\mathbb{Z}}) \otimes \mathcal{K}^\infty(\mathcal{H})$ , where

<sup>2</sup> This is not as complicated as it sounds. The Baum-Connes conjecture (for torsion-free groups) says that the “index map” or “assembly map”  $K_{\bullet}(B\Gamma) \rightarrow K_{\bullet}(C_r^*(\Gamma))$  should be an isomorphism for an arbitrary discrete torsion-free group  $\Gamma$  [4]. Here  $B\Gamma$  is the classifying space of  $\Gamma$ , which if  $\Gamma$  is a torsion-free cocompact discrete subgroup of a connected Lie group  $G$  can be taken to be  $K \backslash G / \Gamma$ ,  $K$  a maximal compact subgroup of  $G$ , and  $C_r^*(\Gamma)$  denotes the reduced group  $C^*$ -algebra, i.e., the  $C^*$ -algebra generated by the left regular representation of  $\Gamma$  on  $\ell^2(\Gamma)$ . If  $\Gamma$  is amenable, this coincides with the full group  $C^*$ -algebra, or in other words the universal  $C^*$ -algebra whose  $*$ -representations correspond to unitary representations of  $\Gamma$ . When  $\Gamma$ , like  $H_{\mathbb{Z}}$ , is a poly- $\mathbb{Z}$  group, i.e., has a composition series with infinite cyclic composition factors, then this is easy to prove by induction on the length of the composition series, using the Pimsner-Voiculescu exact sequence [30] for the  $K$ -theory of a crossed product by an action of  $\mathbb{Z}$ . Finally, the Pimsner-Voiculescu sequence can be deduced from Connes’ Thom isomorphism theorem (see [11]) by inducing the action of  $\mathbb{Z}$  to an action of  $\mathbb{R}$ .

$S(H_{\mathbb{Z}})$  is the rapid decrease algebra. Then we have the isomorphisms

$$\begin{aligned}
 HP_{\bullet}(B^{\infty}) &= H^{\bullet}(\mathbb{T}^3, k \, d\text{vol}) && \text{(definition)} \\
 &\cong HP_{\bullet}(B^{\infty} \rtimes \mathbb{R}^2) && \text{(ENN-Thom isomorphism)} \\
 &\cong HP_{\bullet}(S(H_{\mathbb{Z}})) && \text{(above identification)} \\
 &\cong H_{\bullet}(H_{\mathbb{R}}/H_{\mathbb{Z}}) && \text{(Cyclic homology Baum-Connes conjecture)} \\
 &\cong H^{\bullet+1}(H_{\mathbb{R}}/H_{\mathbb{Z}}) && \text{(Poincaré duality for } H_{\mathbb{R}}/H_{\mathbb{Z}})
 \end{aligned}$$

where  $HP_{\bullet}$  denotes periodic cyclic homology, which is stable under the (projective) tensor product with  $\mathcal{K}^{\infty}(\mathcal{H})$  and  $H_{\bullet}, H^{\bullet}$  denote the  $\mathbb{Z}_2$ -graded homology and cohomology respectively.

Finally, T-duality can be expressed in this case by the following commutative diagram,

$$\begin{array}{ccc}
 K^{\bullet}(\mathbb{T}^3, k \, d\text{vol}) & \xrightarrow{T_!} & K_{\bullet}(C^*(H_{\mathbb{Z}})) \\
 \text{Ch}_H \downarrow & & \downarrow \text{Ch} \\
 H^{\bullet}(\mathbb{T}^3, k \, d\text{vol}) & \xrightarrow{T_*} & HP_{\bullet}(S(H_{\mathbb{Z}}))
 \end{array} \tag{1}$$

where  $H = k \, d\text{vol}$ ,  $\text{Ch}_H$  is the twisted Chern character and  $\text{Ch}$  is the Connes-Chern character [12].

### 6. Concluding Remarks

In this paper, we have only dealt with complex  $C^*$ -algebras and complex  $K$ -theory, which are relevant for type II string theory. In principle, most of what we have done should also extend to the type I case, which involves real  $K$ -theory. However, one has to be careful. Since  $T$ -duality is related to the Fourier transform, and since the Fourier transform of a real function is not necessarily real, a theory of T-duality in type I string theory necessarily involves  $KR$ -theory, or Real  $K$ -theory in the sense of Atiyah [3]. The correct notion of twisted  $KR$ -theory is that of  $K$ -theory of real continuous-trace algebras in the sense of [35, §3]. What complicates things is that such algebras are built out of continuous-trace algebras of real, quaternionic, and complex type (locally isomorphic to  $C(X, \mathcal{K}_{\mathbb{R}})$ ,  $C(X, \mathcal{K}_{\mathbb{H}})$ , and  $C(X, \mathcal{K}_{\mathbb{C}})$ , respectively). Even if one’s original interest is in algebras of real type, passage to the T-dual will often involve algebras of the other types.

One possibility suggested by the example in Sect. 5 is that there is a good theory of T-duality for arbitrary torus bundles with H-fluxes, that doesn’t require going to a category of noncommutative bundles, but that it is necessary to include the possibility of non-principal bundles. We have seen that there is a sense in which the Heisenberg nilmanifold (with trivial  $H$ -field) can be viewed as a T-dual to  $T^3$  with a non-trivial  $H$ -field. (This is literally true in the sense of [5] if we think of both manifolds as  $\mathbb{T}$ -bundles over  $T^2$ , rather than as  $T^2$ -bundles over  $S^1$ .)

It is of course a little disappointing that our main theorem only applies when the fibers of the torus bundle are 2-dimensional. From Theorem 4.4, it is not even clear if the map  $\text{Br}_G(X) \rightarrow H^3(X, \mathbb{Z})$  is surjective when  $n = \dim G > 2$ . However, the methods of this paper should apply on the image of this map.

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