

# THE HILBERT ZETA FUNCTION IS CONSTRUCTIBLE IN FAMILIES OF CURVES

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VERY PRELIMINARY DRAFT

ABSTRACT. In this note we show that the generating series for the topological Euler characteristic of the Hilbert schemes of points on a curve singularity is constructible in families.

## 1. INTRODUCTION

The goal of this note is to present ideas related to the following expectation:

**Expectation 1.** *Let  $(C, p)$  be the germ of a reduced curve singularity over  $\mathbb{C}$ . The Euler characteristic Hilbert zeta function*

$$Z_{C,p}^{\text{top}}(t) := \sum_{d \geq 0} \chi_{\text{top}}(\text{Hilb}^d(C, p)) t^d$$

*depends only on the topology of  $C$  and combinatorics of an embedded resolution.*

Here  $\text{Hilb}^d(C, p)$  is the reduced Hilbert scheme of  $d$  points supported on  $p \in C$  which can be identified with the parameter space of ideals of codimension  $d$  in  $\widehat{\mathcal{O}}_{C,p}$ .

$$\text{Hilb}^d(C, p) = \{I \subset \widehat{\mathcal{O}}_{C,p} : \dim_{\mathbb{C}} \widehat{\mathcal{O}}_{C,p}/I = d\}$$

Furthermore  $\chi_{\text{top}}$  denotes the compactly supported topological Euler characteristic.

By a family of reduced curve singularities  $(\mathcal{C} \rightarrow B, \sigma)$  we mean a flat family of reduced curves  $\mathcal{C} \rightarrow B$  as well as a section  $\sigma : B \rightarrow \mathcal{C}$  such that  $\mathcal{C}_b \setminus \sigma(b)$  is nonsingular for all  $b \in B$ . The main result of this note is the following evidence for Expectation 1.

**Theorem 1.** *Let  $(\mathcal{C} \rightarrow B, \sigma)$  be a flat family of reduced curve singularities. Then*

$$b \mapsto Z_{\mathcal{C}_b, \sigma(b)}^{\text{top}}(t)$$

*is a constructible function  $B \rightarrow \mathbb{Z}[[t]]$ .*

Theorem 1 implies that the Hilbert zeta function  $Z_{C,p}^{\text{top}}(t)$  is a discrete invariant of the singularity  $(C, p)$ . The main question then is exactly what type of discrete information about the singularity does the Hilbert zeta function encode?

For planar curves Maulik [Mau16], verifying a conjecture of Oblomkov-Shende [OS12], proved  $Z_{C,p}^{\text{top}}(t)$  is a topological invariant. An answer to the above question for planar curves has recently emerged due to a large body of work connecting  $Z_{C,p}^{\text{top}}(t)$  to compactified Jacobians, knot invariants, string theory, enumerative geometry of Calabi-Yau threefolds, affine Springer theory, the Hitchin fibration, representation theory of Cherednik algebras, etc (e.g. [Kas15, OS12, Mau16, MS13, MSV15, MY14, DSV13, DHS12, GORS14, OY16, GN15, Ng06]). We hope that Theorem 1 as well as the rationality result of [BRV17] are the first steps in extending parts of this picture to non-planar curves.

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*Date:* January 6, 2018.

**1.1. Proof of Theorem 1.** In [BRV17], Ranganathan, Vakil and the author showed that  $Z_{C,p}^{\text{top}}(t)$  is a rational function of the form  $P(t)/(1-t)^s$  where  $s$  is the number of branches [BRV17, Theorem 2.3]<sup>1</sup>. More precisely, there is an expansion of the following form.

$$\begin{aligned} Z_{C,p}^{\text{top}}(t) &= \sum_{a_1=0}^{c_1-1} \cdots \sum_{a_s=0}^{c_s-1} \sum_{d \geq 0} \chi_{\text{top}}(\text{Hilb}^{d,a_1,\dots,a_s}(C,p)) t^d \\ &+ \sum_{a_1=0}^{c_1-1} \cdots \sum_{a_{s-1}=0}^{c_{s-1}-1} \frac{1}{1-t} \sum_{d \geq 0} \chi_{\text{top}}(\text{Hilb}^{d,a_1,\dots,c_s}(C,p)) t^d \\ &+ \sum_{a_1=0}^{c_1-1} \cdots \sum_{a_{s-2}=0}^{c_{s-2}-1} \frac{1}{(1-t)^2} \sum_{d \geq 0} \chi_{\text{top}}(\text{Hilb}^{d,a_1,\dots,c_{s-1},c_s}(C,p)) t^d \\ &\vdots \\ &+ \frac{1}{(1-t)^s} \sum_{d \geq 0} \chi_{\text{top}}(\text{Hilb}^{d,c_1,\dots,c_s}(C,p)) t^d \end{aligned}$$

Here  $\text{Hilb}^{d,a_1,\dots,a_s}(C,p) \subset \text{Hilb}^d(C,p)$  are certain subvarieties indexed by  $a_i \in \mathbb{N}$  and  $c_i$  are the conductors of each branch (see Section 3).

Furthermore, there are uniform bounds

$$-\delta \leq d - \sum_{i=1}^s a_i \leq c - \delta.$$

where  $\delta$  and  $c = \sum c_i$  are the  $\delta$ -invariant and total conductor (Section 3). In particular,  $d \leq 2c - \delta$  in any of the terms  $\text{Hilb}^{d,a_1,\dots,a_s}(C,p)$  appearing in the expansion above. Multiplying the expression by  $(1-t)^s$  we see that the degree of  $P(t)$  is bounded above by  $2c - \delta + s$ . From the expression  $Z_{C,p}^{\text{top}}(t) = P(t)/(1-t)^s$ , we can then determine  $P(t)$  from the first  $2c - \delta + s$  coefficients of  $Z_{C,p}^{\text{top}}(t)$ .

e Now let  $(f : \mathcal{C} \rightarrow B, \sigma)$  be a family of reduced curve singularity over a base  $B$  of finite type. We may suppose without loss of generality that  $B$  is normal. Then by Corollary 3.5 and Proposition 3.6 there is a finite stratification of the base over which  $\delta, s$  and  $c$  are constant so we may suppose  $f$  is a  $(\delta, s, c)$ -constant family. By Proposition 2.4 there exists a projective morphism  $\pi_d : \text{Hilb}^d(\mathcal{C}/B) \rightarrow B$  whose fiber over  $b \in B$  is  $\text{Hilb}^d(\mathcal{C}_b, \sigma(b))$ . For each  $d$  there exists a finite stratification of  $B$  so that over each stratum, the fibers of  $\pi_d$  have the same topological Euler characteristic. We may take the refinement of all these stratifications for  $1 \leq d \leq 2c - \delta + s$ . This produces a stratification such that  $\chi_{\text{top}}(\text{Hilb}^d(\mathcal{C}_b, \sigma(b)))$  is constant on strata for all  $1 \leq d \leq 2c - \delta + s$ . As these coefficients suffice to determine the full zeta function  $Z_{\mathcal{C}_b, \sigma(b)}^{\text{top}}(t)$ , we are done.

**Remark 1.1.** Note in fact that the proof of Theorem 1 applies verbatim with  $\chi_{\text{top}}$  replaced by any invariant  $\chi$  satisfying the following two properties: (1)  $\chi$  factors through the Grothendieck ring of varieties, (2)  $\chi$  is constructible in families of varieties. In a future version of this paper we will generalize the result to many other invariants.

<sup>1</sup>In fact we show the same is true in the Grothendieck ring of varieties  $K_0(\text{Var})$

## 2. HILBERT SCHEMES OF POINTS ON CURVES

In this section we will define the Hilbert zeta function  $Z_{C,p}(t)$  and construct relative Hilbert schemes for families of germs of reduced curve singularities  $(f : \mathcal{C} \rightarrow B, \sigma)$ . We will always assume that  $B$  is noetherian and that there is an embedding of germs

$$(\mathcal{C}, \sigma) \subset (\mathbb{C}^N \times B, 0 \times B)$$

so that  $(f : \mathcal{C} \rightarrow B, \sigma)$  is the germ of a family of reduced affine curves.

**2.1. Hilbert zeta functions.** For  $X$  a quasiprojective variety, the Hilbert scheme  $\text{Hilb}^d(X)$  is the moduli space for flat families of length  $d$  subschemes of  $X$ . Using the identification between length  $d$  subschemes  $Z \subset X$  and ideal sheaves  $J$  with  $\text{length}(\mathcal{O}_X/J) = d$ , we will represent the closed points of  $\text{Hilb}^d(X)$  by the corresponding ideals.

There is a well defined Hilbert-Chow morphism (see, for example, [FGI+05, Chapter 7])

$$h : \text{Hilb}^d(X) \rightarrow \text{Sym}^d(X)$$

sending a subscheme to its support:

$$[J] \mapsto \sum_{p \in \text{Supp}(\mathcal{O}_X/J)} \text{length}(\mathcal{O}_{X,p}/J_p)[p].$$

When  $X$  is a smooth curve,  $h$  is an isomorphism.

Let  $Y \subset X$  be a closed  $k$ -subvariety. Then  $\text{Sym}^d(Y) \subset \text{Sym}^d(X)$  is a closed subvariety and we define  $\text{Hilb}^d(X, Y)$  the *Hilbert scheme with support in  $Y$*  as the scheme theoretic preimage  $h^{-1}(\text{Sym}^d(Y))$  by the Hilbert-Chow morphism  $h : \text{Hilb}^d(X) \rightarrow \text{Sym}^d(X)$ . Set theoretically,  $\text{Hilb}^d(X, Y) \subset \text{Hilb}^d(X)$  consists of length  $d$  subschemes  $Z \subset X$  with support  $\text{supp}(\mathcal{O}_Z)$  contained in  $Y$ .

We define the *motivic Hilbert zeta function with support in  $Y$*  as:

$$Z_{Y \subset X}(t) := \sum_{d \geq 0} [\text{Hilb}^d(X, Y)] t^d \in 1 + tK_0(\text{Var})[[t]]$$

Furthermore, for any ring homomorphism  $\mu : K_0(\text{Var}) \rightarrow A$  we define the  $\mu$ -*Hilbert zeta function with support in  $Y$*  by

$$Z_{Y \subset X}(t, \mu) := \sum_{d \geq 0} \mu(\text{Hilb}^d(X, Y)) t^d \in 1 + tA[[t]].$$

The Hilbert zeta function respects the following scissor relation for  $Y \subset X$  closed with open complement  $U$  [BRV17, Lemma 2.5].

$$Z_X(t) = Z_U(t) \cdot Z_{Y \subset X}(t)$$

Our main object of study is  $Z_{C,p}(t)$  for  $p \in C$  a singular point on a reduced curve and its specialization  $Z_{C,p}^{\text{top}} = Z_{C,p}(t, \chi_{\text{top}})$ . Note that  $\text{Hilb}^d(C, p)$  depends only on the completed local ring  $\widehat{\mathcal{O}}_{C,p}$ . In fact there is a natural identification of the punctual Hilbert scheme

$$\text{Hilb}^d(C, p) = \{I \mid I \subset \widehat{\mathcal{O}}_{C,p}, \dim_{\mathbb{C}}(\widehat{\mathcal{O}}_{C,p}/I) = d\}$$

as a parameter space for colength  $d$  ideals in  $R$ .

**2.2. Relative Hilbert schemes of points.** Let  $(f : \mathcal{C} \rightarrow B, \sigma)$  be a family of reduced curve singularities and  $\mathfrak{m} \subset \mathcal{O}_{\mathcal{C}}$  the ideal of the section  $S := \sigma(B) \subset \mathcal{C}$ . The proof of the following closely follows [Ber12, Lemma 2.22]

**Lemma 2.1.** *Let  $Z \subset \mathcal{C}$  be a subscheme flat and proper over  $B$  of degree  $d$ . Then  $\mathfrak{m}^d \subset I(Z)$ .*

*Proof.* We may suppose without loss of generality  $B$  is affine. Since our family embeds into  $(\mathbb{C}^n \times B, 0 \times B)$  as the germ of some subvariety,  $\mathfrak{m}$  is finitely generated. Consider  $\bar{\mathfrak{m}} := \mathfrak{m}/I \subset \mathcal{O}_{\mathcal{C}}/I = \mathcal{O}_Z$  where  $I = I(Z)$  is the ideal sheaf of  $Z$ . Note that  $I \subset \mathfrak{m}$  and  $\sqrt{I} = \sqrt{\mathfrak{m}}$  as  $Z$  is proper over  $B$  so it is necessarily supported on the section. Therefore every element of  $\bar{\mathfrak{m}}$  is nilpotent and  $\bar{\mathfrak{m}}$  is finitely generated so  $\bar{\mathfrak{m}}^n = 0$  for large  $n$ .

On the other hand,  $\bar{\mathfrak{m}}$  is the ideal of  $\sigma(B)$  inside  $Z$  so it is contained in every maximal ideal of  $\mathcal{O}_Z$ . Therefore by Nakayama's lemma [AM69, Proposition 2.6]  $\bar{\mathfrak{m}}^k = \bar{\mathfrak{m}}^{k+1}$  implies that  $\bar{\mathfrak{m}} = 0$ . In particular,  $\bar{\mathfrak{m}}^n = 0$  if and only if  $n \geq n_0 = \min\{k : \bar{\mathfrak{m}}^k = \bar{\mathfrak{m}}^{k+1}\}$ . It follows that  $\bar{\mathfrak{m}}^j/\bar{\mathfrak{m}}^{j-1} \neq 0$  for any  $j < n_0$  and so for any  $k \leq n_0$

$$\mathcal{O}_Z/\bar{\mathfrak{m}}^k$$

has rank at least  $k$  above some point  $b \in B$ . Since  $Z$  is finite of degree  $d$  we must have  $k \leq d$ . Therefore  $n_0 \leq d$  and  $\bar{\mathfrak{m}}^d = 0$ . □

Let  $S_d = \text{Spec}_B(\mathcal{O}_{\mathcal{C}}/\mathfrak{m}^d)$  be the  $d^{\text{th}}$  formal neighborhood of the section in  $\mathcal{C}$ .

**Lemma 2.2.**  *$S_d$  is finite over  $B$ .*

*Proof.*  $S_d \rightarrow B$  is quasi-finite and the induced morphism  $(S_d)_{\text{red}} \rightarrow B_{\text{red}}$  is an isomorphism by existence of a section so  $S_d \rightarrow B$  is proper. □

In particular,  $S_d \rightarrow B$  is projective with relatively ample line bundle  $\mathcal{O}_{S_d}$ . By Lemma 2.1, every flat and proper subscheme  $Z \subset \mathcal{C}$  of degree  $d$  over  $B$  is a subscheme of  $S_n$  for  $n \geq d$ .

**Definition 2.3.** We define the relative Hilbert scheme  $\text{Hilb}^d(\mathcal{C}/B, \sigma)$  of length  $d$  subschemes supported on a family of curve singularities to be the Hilbert scheme  $\text{Hilb}^d(S_d/B)$ .

**Proposition 2.4.**  *$\text{Hilb}^d(\mathcal{C}/B, \sigma)$  is a projective  $B$ -scheme and for each  $b \in B$ , we have an identification*

$$\text{Hilb}^d(\mathcal{C}/B, \sigma) \times_B k(b) = \text{Hilb}^d(\mathcal{C}_b, \sigma(b)).$$

*Proof.* Since  $S_d \rightarrow B$  is a projective morphism and  $B$  is Noetherian, then  $\text{Hilb}^d(S_d/B)$  exists and is projective over  $B$  by a theorem of Grothendieck (e.g. [FGI+05, Theorem 5.14]). Furthermore, the formation of  $\text{Hilb}^d(S_d/B)$  is compatible with basechange [FGI+05, (5), page 114] so that

$$\text{Hilb}^d(S_d/B) \times_B k(b) = \text{Hilb}^d(\text{Spec}(\mathcal{O}_{C_b}/\mathfrak{m}_b^d)).$$

By Lemma 2.1, every subscheme of  $\mathcal{C}_b$  of length  $d$  supported on  $\sigma(b)$  is a subscheme of  $\text{Spec}(\mathcal{O}_{C_b}/\mathfrak{m}_b^d)$  and so we may identify the right hand side with  $\text{Hilb}^d(\mathcal{C}_b, \sigma(b))$ . □

**Remark 2.5.** Note that  $\text{Hilb}^d(\mathcal{C}/B, \sigma)$  does not represent the functor for flat families of flat and proper subschemes of  $\mathcal{C}$  of degree  $d$  over  $B$ . However, this is ok for our applications as the invariants we are interested in are insensitive to the scheme structure.

## 3. SINGULAR CURVES AND THEIR DEFORMATIONS

In this section we will recall some facts about reduced curve singularities and their equisingular deformations including semicontinuity of  $\delta$  and  $s$ . Furthermore, we show that the conductor  $c$  is also constructible, insuring the existence of a  $(\delta, s, c)$ -constant stratification for any family of reduced curve singularities.

Let  $(C, p) \subset (\mathbb{C}^N, 0)$  be the germ of a reduced curve singularity with  $s$  branches  $C_i$  and let  $\mathcal{O}_C = \widehat{\mathcal{O}}_{C, p}$  denote the corresponding completed local ring. Let  $n : \tilde{C} \rightarrow C$  be the normalization. By picking uniformizers for each branch, we identify  $\mathcal{O}_{\tilde{C}}$  with the ring  $\prod_{i=1}^s \mathbb{C}[[x_i]]$ . The normalization induces a finite extension

$$\mathcal{O}_C \hookrightarrow \mathcal{O}_{\tilde{C}} \cong \prod_{i=1}^s \mathbb{C}[[x_i]]$$

of rings which factors through the inclusions  $\mathcal{O}_{C_i} \subset \mathbb{C}[[x_i]]$  corresponding to the  $i^{\text{th}}$  branch  $n_i : \tilde{C}_i \rightarrow C_i \subset C$  of the normalization.

(1) Let

$$\delta := \dim_{\mathbb{C}}(n_*\mathcal{O}_{\tilde{C}}/\mathcal{O}_C)$$

be the  $\delta$ -invariant of  $C$ . Similarly, we denote by  $\delta_i$  the  $\delta$ -invariant  $\dim_{\mathbb{C}} \mathbb{C}[[x_i]]/\mathcal{O}_{C_i}$  of the  $i^{\text{th}}$  branch.

(2) Let

$$\mathfrak{c} := \text{Ann}_{\mathcal{O}_C}(n_*\mathcal{O}_{\tilde{C}}/\mathcal{O}_C)$$

be the *conductor ideal*. This is an ideal of both  $\mathcal{O}_{\tilde{C}}$  and  $\mathcal{O}_C$ . In particular  $\mathfrak{c}$  is generated by monomials, say  $x_i^{c_i}$ , as an ideal of  $\prod_{i=1}^s k[[x_i]]$ . It's clear from the definition that  $c_i$  is the smallest positive integer such that for all  $n \geq c_i$ ,  $x_i^n \in \mathcal{O}_C$ . We will refer to  $c_i$  as the conductor of the  $i^{\text{th}}$  branch, denote by

$$c := \dim_{\mathbb{C}}(\mathcal{O}_{\tilde{C}}/\mathfrak{c}) = \sum_{i=1}^s c_i$$

the conductor of  $C$ , and by  $\underline{c} = (c_1, \dots, c_s)$  the conductor branch-length vector. More generally, for any finite homomorphism of rings  $\varphi : R \rightarrow S$  the *conductor* of  $\varphi$  is defined as

$$\mathfrak{c}(\varphi) := \text{Ann}_{\varphi(R)}(S/\varphi(R)).$$

Then it is clear that

$$c_i = \dim_{\mathbb{C}}(\mathcal{O}_{\tilde{C}_i}/\mathfrak{c}(n_i)).$$

(3) The Milnor number  $\mu(C)$  is defined as  $\dim_{\mathbb{C}}(\omega_C/d\mathcal{O}_C)$  where  $d : \mathcal{O}_C \rightarrow \omega_C$  is the differential composed with the canonical map  $\Omega_C^1 \rightarrow n_*\Omega_{\tilde{C}}^1 \cong n_*\omega_{\tilde{C}} \rightarrow \omega_C$  to the dualizing sheaf of  $C$ . The Milnor number satisfies

$$\mu(C) = 2\delta(C) - s + 1$$

(see [BG80]).

Denote by  $v_i : \mathcal{O}_{\tilde{C}} \rightarrow \mathbb{N}$  the composition of the projection onto  $k[[x_i]]$  with the valuation on  $k[[x_i]]$ . This gives the order of vanishing of a function along the  $i^{\text{th}}$  branch of the normalization.

**3.1. Equisingular families.** Let  $(f : \mathcal{C} \rightarrow B, \sigma)$  be a flat family of germs of reduced curve singularities. Recall we will always assume that  $B$  is Noetherian and that there is an embedding of germs

$$(\mathcal{C}, \sigma) \subset (\mathbb{C}^N \times B, 0 \times B)$$

so that  $(f : \mathcal{C} \rightarrow B, \sigma)$  is the germ of a family of reduced affine curves.

**Definition 3.1.** A morphism  $\nu : \mathcal{C}' \rightarrow \mathcal{C}$  is a *simultaneous normalization* of  $f$  if for any  $b \in B$ ,  $\nu_b : \mathcal{C}'_b \rightarrow \mathcal{C}_b$  is the normalization. We say that  $f$  is *equinormalizable* if the normalization  $\tilde{\mathcal{C}} \rightarrow \mathcal{C}$  of the total space is a simultaneous normalization of  $f$ .

**Theorem 3.2** (Tessier [Tei77], Reynaud, Chiang-Hsieh–Lipman [CHL06]). *Let  $(f : \mathcal{C} \rightarrow B, \sigma)$  be a flat family of reduced curve singularities over a normal base  $B$ . Then  $f$  is equinormalizable if and only if  $\delta(\mathcal{C}_b, \sigma(b))$  is constant for  $b \in B$ .*

**Definition 3.3.** Suppose  $B$  is connected, smooth and 1-dimensional with a basepoint  $0 \in B$ . We say that the family  $(f : \mathcal{C} \rightarrow B, \sigma)$  is *equisingular*<sup>2</sup> if there is a homeomorphism

$$(\mathcal{C}, \sigma(B)) \cong_{\text{top}} (B \times \mathcal{C}_0, B \times \sigma(0))$$

compatible with the maps to  $B$ .

**Theorem 3.4** (Buchweitz–Greuel [BG80, Theorems 5.2.2 and 6.1.7]). *Let  $(f : \mathcal{C} \rightarrow B, \sigma)$  be a flat family of reduced curve singularities.*

- (a) *The function  $\mu(\mathcal{C}_b, \sigma(b))$  for  $b \in B$  is upper semicontinuous.*
- (b) *Suppose  $B$  is a smooth, connected and 1-dimensional base. Then the following are equivalent:*
  - (i)  *$(f : \mathcal{C} \rightarrow B, \sigma)$  is equisingular;*
  - (ii) *the Milnor number  $\mu(\mathcal{C}_b, \sigma(b))$  is constant for  $b \in B$ ;*
  - (iii)  *$\delta(\mathcal{C}_b, \sigma(b))$  and the number of branches  $s(\mathcal{C}_b, \sigma(b))$  are constant.*

**Corollary 3.5.** *There exists a stratification  $B = \bigsqcup B_i$  such that the pullback  $f_i : \mathcal{C}_i \rightarrow B_i$  is a  $\mu$ -constant family for each  $i$ . Furthermore,  $f_i$  is  $(\delta, s)$ -constant and if  $B_i$  is normal then  $f_i$  is equinormalizable.*

We call such families  $(\delta, s)$ -constant or *equisingular* families. If  $(f : \mathcal{C} \rightarrow B, \sigma)$  is an equisingular family, then the normalization  $\tilde{f} : \tilde{\mathcal{C}} \rightarrow B$  is a family of  $s$  germs of smooth curves with degree  $s$  multisection. That is,  $\tilde{\mathcal{C}}_b \cong \bigsqcup_{i=1}^s \hat{\mathbb{A}}^1$  where  $\hat{\mathbb{A}}^1 = \text{Spec}(\mathbb{C}[[x]])$ .

**Proposition 3.6.** *Let  $(f : \mathcal{C} \rightarrow B, \sigma)$  be a  $(\delta, s)$ -constant family of reduced curve singularities. Then the conductor  $c$  is constructible on  $B$ .*

*Proof.* Since the function  $b \rightarrow c(\mathcal{C}_b, \sigma(b))$  depends only on the closed points of  $B$ , we may assume without loss of generality that  $B$  is normal. In this case  $f$  is equisingular and the normalization  $n : \tilde{\mathcal{C}} \rightarrow \mathcal{C}$  is the simultaneous normalization. Consider the sequence

$$0 \rightarrow \mathcal{O}_{\mathcal{C}} \rightarrow n_* \mathcal{O}_{\tilde{\mathcal{C}}} \rightarrow Q \rightarrow 0.$$

As  $f$  is equinormalizable, we have exactness of

$$0 \rightarrow \mathcal{O}_{\mathcal{C}_b} \rightarrow n_* \mathcal{O}_{\tilde{\mathcal{C}}_b} \rightarrow Q_b \rightarrow 0$$

so that  $\text{length}(Q_b) = \delta$  is constant for all  $b \in B$ . Thus  $Q$  is finite of constant rank over  $B$  so it is flat.

<sup>2</sup>There are several notions of equisingular deformations in the literature that are not always equivalent.

**Lemma 3.7.** *Let  $(f : \mathcal{C} \rightarrow B, \sigma)$  be a family of reduced curve singularities and let  $Q$  be a coherent sheaf on  $\mathcal{C}$  that is flat and finite over  $B$ . Then  $b \rightarrow \text{colength}_{\mathcal{O}_{C_b}}(\text{Ann}_{\mathcal{O}_{C_b}}(Q_b))$  is constructible.*

*Proof.* Let  $d$  be the degree of  $Q$  over  $B$  and for any  $k \leq d$  consider  $\text{Hilb}^k(\mathcal{C}/B, \sigma)$  with tautological subscheme  $Z_k \subset \text{Hilb}^k(\mathcal{C}/B, \sigma) \times_B \mathcal{C}$ . Let  $Q_H$  the pullback of  $Q$  to  $\text{Hilb}^k(\mathcal{C}/B, \sigma) \times_B \mathcal{C}$  and  $Q_Z$  the pullback of  $Z_k$ . Then  $Q_H$  is flat over  $\text{Hilb}^k(\mathcal{C}/B, \sigma)$  of constant degree  $d$  over and  $Q_Z$ , as a quotient of  $Q_H$ , has degree at most  $d$  over  $\text{Hilb}^k(\mathcal{C}/B, \sigma)$ .

Let  $H_d^k \subset \text{Hilb}^k(\mathcal{C}/B, \sigma)$  be the closed subset where  $Q_Z$  has degree exactly  $d$ , or equivalently the locus over which  $Q_H \rightarrow Q_Z$  is an isomorphism. The image of  $H_d^k$  via  $\text{Hilb}^k(\mathcal{C}/B, \sigma) \rightarrow B$  is constructible in  $B$  and is by construction the locus over which  $Q$  is supported on a subscheme of length at most  $k$ . In particular, the image of  $H_d^d$  is all of  $B$  and the function

$$\varphi : b \rightarrow \min\{k : \in \text{im}(H_k^d)\}$$

is constructible. On the other hand, since  $V(\text{Ann}_{\mathcal{O}_{C_b}}(Q_b)) = \text{Supp}(Q_b)$  is the smallest subscheme on which  $Q_b$  is supported, then

$$\varphi(b) = \text{colength}_{\mathcal{O}_{C_b}}(\text{Ann}_{\mathcal{O}_{C_b}}(Q_b)).$$

□

To complete the proof, note that  $\delta$  is constant so

$$c(\mathcal{C}_b, \sigma(b)) = \delta + \text{colength}_{\mathcal{O}_{C_b}}(\text{Ann}_{\mathcal{O}_{C_b}}(Q_b))$$

is constructible by the lemma.

□

**Corollary 3.8.** *For any  $(\delta, s)$ -constant family, we may further stratify so that  $c$  is constant and  $Z \rightarrow B$  is flat. We call such families  $(\delta, s, c)$ -constant families.*

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