

# Minimal Models in dim 2

Def  $X$  s.t.  $K_X$  is nef

Thm (Nonvanishing)

IF  $X$  is a minimal model,  
then  $H^0(X, mK_X) \neq 0$  for some  $m > 0$

Pf Suppose we had a minimal model  $X$  but w/  $K(X) = -\infty$

$\Rightarrow \alpha: X \rightarrow E \leftarrow$  elliptic curve

$\alpha$  is smooth &  $g(F) \geq 1$

Thm  $\alpha: X \rightarrow E$  smooth proper  
w/ fibers  $g(F) \geq 1$ ,  $g(E) \leq 1$   
then  $\alpha$  is isotrivial:

$g \geq 2$   $E' \times F \cong X' \xrightarrow{t'} X$  where  $t$   
 $\alpha' \downarrow \quad \downarrow \alpha$  is étale  
 $E' \xrightarrow{t} E$

g=1

$\alpha_* \omega_{X/E}$

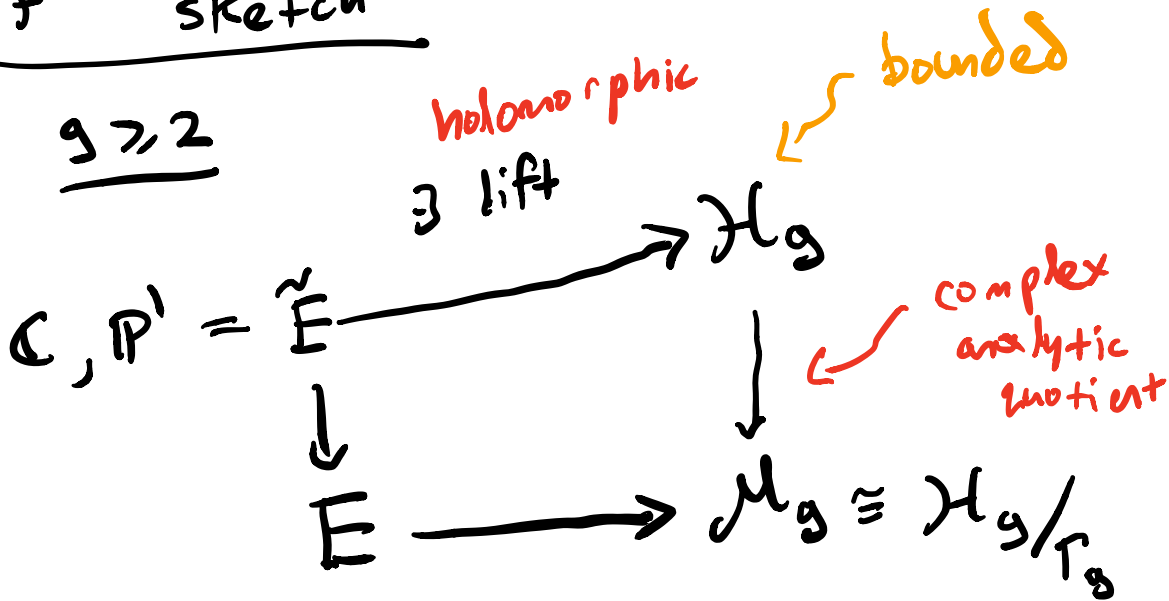
this is a torsion line bundle

$\leadsto t: E' \rightarrow E$   
 $t$  étale

$\mathcal{O}_{E'} \cong t^* \alpha_* \omega_{X/E} \cong \alpha'_* \omega_{X'/E'}$

PF sketch

g > 2



Since  $\mathcal{H}_g$  is bounded, this lift is constant

$\Rightarrow \tilde{X} = \tilde{E} \times_E X \xrightarrow{\cong} \tilde{E}$  is a product

"  $F \times E$

but  $\text{Aut}(F)$  finite  $\Rightarrow$  factor through a finite cover  $E'$

g=1

$E \xrightarrow{\mathcal{H}} \mathcal{M}_{g,1} = \text{Space of genus 1 curve w/ a point}$



$X \xrightarrow{\alpha} E$  might not have a section

$$\begin{array}{ccc} & \mathbb{E} & \\ & \downarrow & \\ \text{Jac}(X) & \xrightarrow{J(\alpha)} & E \end{array}$$

↻

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Rmk Start of a beautiful story  
about hyperbolicity of moduli,  
positivity of  $\alpha_* \omega_{X/E}$   
no Iitaka's conjecture  
on subadditivity of  
Kodaira dimensions

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Thm (Iitaka)

Suppose  $h: X' \rightarrow X$  finite unramified

$$\Rightarrow K(X') = K(X)$$

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$g \geq 2$   $K(X) = K(X') = K(F \times E') \geq 1$

$$g(F) \geq 2$$

$$g(E') \geq 1$$

Contradiction!

$g=1$

$$\begin{array}{ccc} X' & \rightarrow & X \\ \alpha' \downarrow & & \downarrow \alpha \\ E' & \xrightarrow{\tau} & E \end{array}$$

$$t^* \alpha_* \omega_{X'/E} = \alpha'_* \omega_{X'/E} = \mathcal{O}_{E'}$$

$$\alpha'_* \omega_{X'} = \alpha'_* \left( (\alpha')^* \omega_{E'} \otimes \omega_{X'/E'} \right)$$

$$\cong \omega_{E'} \otimes \underbrace{\alpha'_* \omega_{X'/E'}}_{\mathcal{O}_{E'}} = \omega_{E'}$$

↗  
Projection  
formula

$$g(E') \geq 1$$

$$\Rightarrow H^0(X, \mathcal{O}_X(K_X)) = H^0(E', \omega_{E'}) = g(E) \geq 1$$

$$\Rightarrow h(X) = h(X') \geq 0$$

Contradiction!

## §2: Abundance in dim 2

Thm If  $X$  is a minimal model in dim 2, then  $K_X$  is semiample  
 $\text{mk}_X$  is bpf

$$K_X \text{ nef} \Rightarrow K_X \text{ semiample}$$

Proof by nonvanishing  $K(X) \geq 0$

$K=2$  (general type)

It's a corollary of the Full  
base point free theorem

Thm  $X$  smooth proj variety

$$D = \sum d_i D_i \quad 0 < d_i \leq 1$$

+ singularities of  $D$  are "nice"  $(X, D)$  has klt singularities

If  $L$  nef Cartier divisor such  
that  $aL - (K_X + D)$  is ample  
for some  $a > 0$

$\Rightarrow mL$  bpf for some  $m \gg 0$

$K=1$

$$K_X^2 = 0, \quad K_X \neq 0$$

(numerical  
Kodaira dim)

nef but  
not big

$$|mK_x| = |M| + F \leftarrow \text{Fixed part}$$

$\emptyset$  moving part of  $mK_x$   $M$  nef

$$0 \leq M^2 \leq M \cdot (M+F) \leq (M+F)^2 = (mK_x)^2 = 0$$

$$\Rightarrow M^2 = M \cdot F = F^2 = 0$$

moving divisor  $M$  w/  $M^2 = 0$

$\Rightarrow |M|$  is base point free

b/c  $\bigcap_{M' \in |M|} M' = \emptyset$

$$\phi_{|M|} : X \rightarrow \mathbb{Z} \leftarrow \text{curve}$$

$F$  satisfying 1)  $F \cdot M = 0$   
 $\Rightarrow F \subseteq \text{fiber of } \phi$

2)  $F^2 = 0$

Hodge index  $\nearrow$

$$\Rightarrow F = \sum m_i F_i$$

$F_i$  are fibers of  $\phi$

$$mK_x = M + F = \phi_{|M|}^* H_{\mathbb{Z}} + \sum_{\substack{P_i \in \mathbb{Z} \\ P_i \in \mathbb{Z}}} m_i \phi^* P_i$$

$$= \phi^* \left( \underbrace{H_{\mathbb{Z}} + \sum m_i P_i}_{\text{ample divisor}} \right)$$

pull back of ample  
by a morphism  
is bpf

ample divisor

$$K = 0$$

$$h^0(X, \mathcal{O}(mK_x)) \leq 1 \quad \text{for any } m > 0$$

$$\chi(\mathcal{O}_X) \geq 0 \quad p_g = p_g \text{ geometric genus}$$

$$1 - h^1(\mathcal{O}_X) + h^0(\mathcal{O}_X(K_x)) \geq 0$$

if (regularity)  $\rightarrow h^1(\mathcal{O}_X) = h^1 = q(X) \leq 1$

### Cases

- i)  $p_g = q = 0$   $2K \sim 0$  Enriques
- ii)  $p_g = 0, q = 1$   $mK_x \sim 0 \quad m > 0$  bielliptic
- iii)  $p_g = 1, q = 0$   $K_x \sim 0$  K3 surface
- iv)  $p_g = q = 1$  doesn't exist
- v)  $p_g = 1, q = 2$  abelian surface

Need to check that

$$m k_x \sim 0 \quad \text{for some } m > 0$$

$$i) \quad P_g = Q = 0, \quad \chi(\mathcal{O}_X) = 1$$

Castelnuovo's Rationality

$$\text{if } P_2 = H^0(X, \mathcal{O}_X(2K_X)) = 0$$

$\Rightarrow X$  rational, can't happen

$$\Rightarrow H^0(X, \mathcal{O}_X(2K_X)) \neq 0$$

$$\text{want } h^0(X, \mathcal{O}_X(-2K_X)) \geq 1$$

$\Rightarrow$

$$2K_X \sim 0$$

$$\begin{aligned} h^0(X, \mathcal{O}_X(-2K_X)) + h^2(X, \mathcal{O}_X(-2K_X)) &= h^0(X, \mathcal{O}_X(3K_X)) = 0 \\ &\geq \frac{1}{2}(-2K_X)(-2K_X - K_X) + \chi(\mathcal{O}_X) \end{aligned}$$

$0 \quad \text{b/c } K_X^2 = 0$

Ex if  $h^0(X, \mathcal{O}_X(2K_X)) = 1 = h^0(X, \mathcal{O}_X(K_X))$

$$\Rightarrow h^0(X, \mathcal{O}_X(K_X)) = 1$$

$$\Rightarrow h^2(X, \mathcal{O}_X(3K_X)) = 0$$



$$ii) \quad p_g = 0 \quad q = 1 \quad \chi(\mathcal{O}_X) = 0$$

$\alpha: X \rightarrow E \leftarrow$  elliptic curve

run the same argument as in non vanishing proof

$\Rightarrow \alpha$  smooth fibration

$$g(F) \geq 1$$

$g \geq 2$   $X' = E' \times F \rightarrow X$   
 $\bar{e}tale$

$$K(X) =$$

$$K(E' \times F) \geq 1$$

$$g(F) \geq 2$$

can't happen

canonical bundle

$g = 1$

$$\omega_X = \alpha^* \omega_E \otimes \omega_{X/E} \stackrel{\vee}{=} \alpha^* (R^1 \alpha_* \mathcal{O}_X) \stackrel{\vee}{=} \alpha^* \alpha_* \omega_{X/E}$$

$$\alpha_* \omega_{X/E} \cong (R^1 \alpha_* \mathcal{O}_X) \vee$$

relative duality

but  $\alpha_* \omega_{X/E}$  torsion bundle on  $E$

$$\Rightarrow \left( \alpha_* \omega_{X/E} \right)^{\otimes m} = \mathcal{O}_E$$

$$\Rightarrow \omega_X^{\otimes m} = \alpha^* \left( \alpha_* \omega_{X/E} \right)^{\otimes m} = \alpha^* \mathcal{O}_E = \mathcal{O}_X$$

$m > 1$  b/c  $p_g = 0$

$$iii) \quad P_g = 1 \quad g = 0 \quad \chi(\mathcal{O}_x) = 2$$

$$h^0(\mathcal{O}_x(-k_x)) + h^0(\mathcal{O}_x(2k_x))$$

$$\geq 0 + \chi(\mathcal{O}_x) = 2$$

$$h^0(\mathcal{O}_x(2k_x)) \leq 1 \quad \text{by } k(x) = 0$$

$$\Rightarrow h^0(\mathcal{O}_x(-k_x)) \geq 1$$

$$\Rightarrow k_x \sim 0$$

$$iv) \quad P_g = g = 1 \quad \chi(\mathcal{O}_x) = 1$$

$$h^1(x, \mathcal{O}_x) = \dim \text{Pic}(x)$$

$$\text{Pic}^0(x) = \frac{H^1(x, \mathcal{O}_x)}{\text{im}(H^1(x, \mathbb{Z}))} \neq 0$$

$$0 \rightarrow \mathbb{Z} \rightarrow \mathcal{O}_x \xrightarrow{\text{exp}} \mathcal{O}_x^* \rightarrow 1$$

Pick some  $M \in \text{Pic}^0(x)$

2-torsion but not trivial

$$h^0(\mathcal{O}_x(M)) = 0$$

$$h^2(\mathcal{O}_x(M)) = h^0(\mathcal{O}_x(k_x - M)) = 0$$

$$\geq \frac{1}{2} \mu(M - k) + \chi(\mathcal{O}_x) = 1$$

$$G \in |K_X - M| \Rightarrow 2G \in |2K_X - 2M| = |2K_X|$$

$$D \in |K_X|$$

$$h^0(\mathcal{O}_X(2K_X)) \stackrel{!}{=} 1$$

$$|2K_X| \ni 2D = 2G$$

$$K_X \sim D = G \sim K_X - M \Rightarrow M \sim 0$$

contradiction

$$v) p_g = 1 \quad q = 2$$

$$\chi(\mathcal{O}_X) = 0$$

$$\alpha: X \rightarrow A \mid b(X) = A$$

$\dim A = 2$   
abelian surface

Claim 1

$\alpha$  does not factor through a curve  $C$

$$\begin{array}{c}
 \xrightarrow{\quad \alpha \quad} \\
 X \rightarrow C \rightarrow A = \text{Jac}(C) \\
 g(C) = 2
 \end{array}$$

Pick some  $t': C' \rightarrow C$  with  $g(C') > 2$  <sup>finite étale cover</sup>

$$\begin{array}{ccc} X & \rightarrow & C \\ \uparrow & & \uparrow \\ X' & \rightarrow & C' \rightarrow \text{Jac}(C') = \text{Alb}(X') \end{array}$$

$$h(X') = h(X) = 0 \quad \dim \geq 3$$

$$g(X') \geq 3 \quad \text{not possible}$$

so  $\alpha$  is surjective

Riemann-Hurwitz

$$K_X = \alpha^* K_A + E$$

↙ effective

Use intersection products + that  $\exists$  component of  $E$  w/ neg self int

to conclude  $E$  contains a  $g(C)=1$  curve

$\Rightarrow$  have an elliptic curve on  $A$

Complete reducibility theorem