

# Lecture 2

## Counting Techniques 32.3

### The Three Basic Rules

1. The Product Rule for Ordered Pairs and Ordered k-tuples

Our first counting rule applies to any situation in which a set consists of ordered pairs of objects  $(a, b)$  where  $a$  comes from a set  $A$  and  $b$  comes from a set  $B$ .  
(in terms of pure mathematics the

Cartesian Product  $A \times B$  is  
the set of such pairs

$$A \times B = \{(a, b) : a \in A, b \in B\}$$

## Proposition (text pg 60)

If the first element of the ordered pair can be selected in  $n_1$  ways and if for each of these  $n_1$  ways the second element can be selected in  $n_2$  ways then the number of pairs is  $n_1 n_2$ .

Mathematically - if  $\#(A) = n_1$  and  $\#(B) = n_2$  then  $\#(A \times B) = n_1 n_2$

There are analogous results for ordered triples etc

$$\#(A \times B \times C) = n_1 n_2 n_3$$

## Example

How many "words" of two letters can we make from the alphabet of five letters  $\{a, b, c, d, e\}$ .

Solution Note that order counts  
 $ab \neq ba$ .

There are two ways to think about the problem pictorially -

I. Filling in two slots --

We have a choice of 5 ways to fill in the first slot and for each of these we have 5 more ways to fill in the second slot

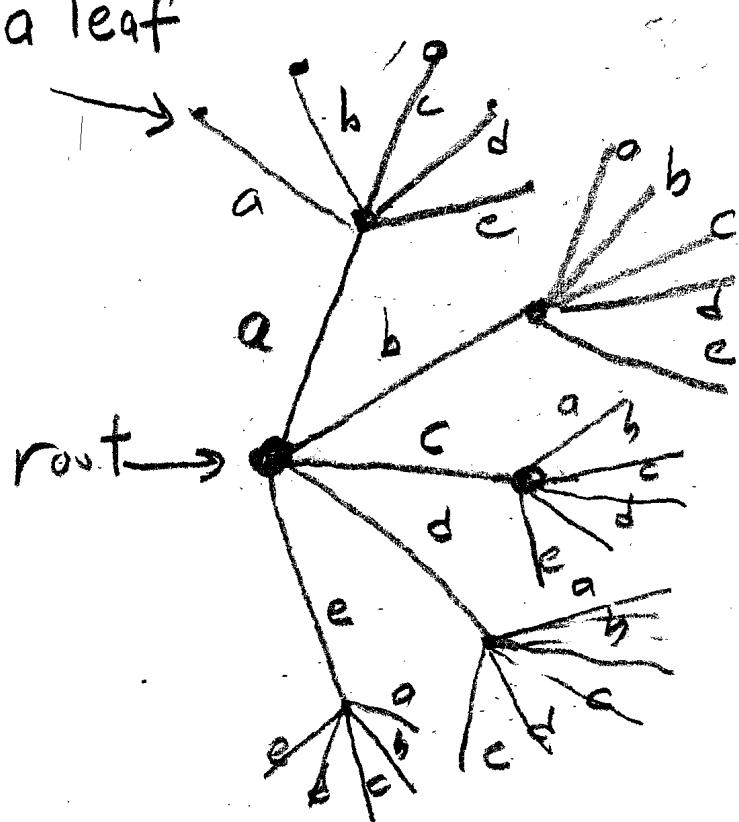
so we have 25 ways

$$\underline{5} \quad \underline{5} = 25$$

2. Draw a tree where  
each edge is a choice

4

a leaf



The number of pairs is the  
number paths from the root  
to a "leaf" (ie a node at the  
far right).

In this case there are 25 paths

Problem How many words of length 3?

## 2. Permutations (pg 62)

In the previous problem the word aa was allowed. What if we required the letters in the word to be distinct. Then we would get 2-permutations from the 5-element set {a, b, c, d} according to the following definition.

### Definition

An ordered sequence of  $k$  distinct objects taken from a set of  $n$  elements is called a  $k$ -permutation of the  $n$  objects.

The number of  $k$ -permutations of the  $n$  objects will be denoted  $P_{k,n}$ .

So order counts |

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Let us return to our 5 element set {a, b, c, d, e} and count the number of 2-permutations.

It is best to think in terms of slots

$$\frac{5}{\underline{\quad}} \frac{4}{\underline{\quad}} = 20$$

There are 5 choices for the first slot but only 4 for the second because whatever we put in the first slot cannot be put in the second slot so  $P_{2,5} = 20$

What is  $P_{3,5}$  ?

# Proposition (pg 68)

$$P_{k,n} = \underbrace{n(n-1)(n-2) \cdots (n-k+1)}_{k \text{ terms}}$$

Proof Fill in  $k$  slots  
with no repetitions



Note that if we allowed repetitions  
we would get  $n^k$

$$\frac{n}{\underbrace{\phantom{000}}_k} \frac{n}{\underbrace{\phantom{000}}_k} \frac{n}{\underbrace{\phantom{000}}_k} \cdots \frac{n}{\underbrace{\phantom{000}}_k}$$

There is a very important  
Special case

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$$P_{n,n} = n! = n(n-1)(n-2)\cdots 3 \cdot 2 \cdot 1$$

There are  $n!$  ways to take  
 $n$  distinct objects and  
arrange them in order.

Example  $n=3, \{a, b, c\}$

abc  
- acb  
bac  
bca  
cab  
cba

$$3! = (3)(2)(1) = 6$$

When you list objects it is  
helpful to list them in dictionary order.

# A Better Formula

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for  $P_{k,n}$

Here is a better formula  
for  $P_{k,n}$

## Proposition

$$P_{k,n} = \frac{n!}{(n-k)!}$$

Proof This is an algebraic trick

$$\frac{n!}{(n-k)!} = \frac{n(n-1)\cdots(n-k+1)(n-k)(n-k-1)\cdots 3 \cdot 2 \cdot 1}{(n-k)!}$$

So cancel the second part of the numerator with the denominator

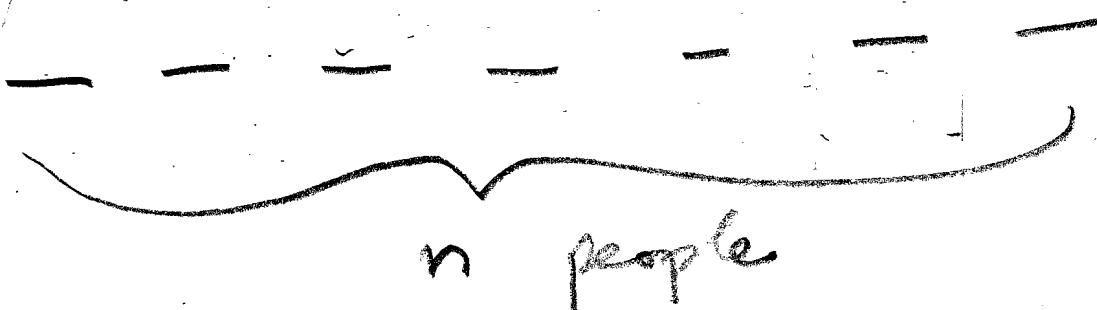
$$\frac{n!}{(n-k)!} = n(n-1)\cdots(n-k+1) = P_{k,n}$$

# The Birthday Problem

Suppose there are  $n$  people in a room. What is the probability  $B_n$  that at least two people have the same birthday (eg March 11)?

Let  $S$  be the set of all possible birthdays for  $n$  people so

$$\#(S) = (365)^n$$

 n people

(We ignore leap-years so this isn't quite right)

Now let  $A \subset S$  be the event that at least two people have the same birthday. So

$A' =$  all the people in the room have different birthdays.

So  $B_n = 1 - P(A')$

Now what is  $A'$ ? Order the people

$$\frac{365}{1} \cdot \frac{364}{2} \cdot \frac{\dots}{3} \cdots \frac{365-n+1}{n}$$

$$\#(A') = P_{n, 365}$$

So  $B_n = 1 - \frac{P_{n, 365}}{(365)^n}$

### 3. Combinations

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There are many counting problems in which one is given a set of  $n$  objects and one wants to count the number of unordered subsets with  $k$  elements.

An unordered subset with  $k$  elements taken from a set of  $n$  elements is called a  $k$ -combination of that set. The number of  $k$ -combinations is denoted

$$C_{k,n}$$

Which is bigger  $C_{k,n}$  or  $P_{k,n}$ ?

What is  $C_{n,n}$ ?

Example

$$P_{2,3} = 6 \quad , \quad C_{2,3} = 3$$

$$S = \{a, b, c\}$$

2 permutations of S || 2 combinations of S

$$a \ b \quad ba$$

$$b \ c \quad cb$$

$$ac \quad ca$$

$$\{a, b\}$$

$$\{b, c\}$$

$$\{a, c\}$$

Each two combination gives rise to 2 2-permutations.

so

$$P_{2,3} = 2 C_{2,3} = (2)(3) = 6$$

# A Formula for $C_{k,n}$

Proposition (pg 64)

$$P_{k,n} = C_{k,n} \cdot k! \text{ so}$$

$$C_{k,n} = \frac{P_{k,n}}{k!} = \frac{n!}{k!(n-k)!}$$

Proof To make a  $k$ -permutation first make an unordered choice of the  $k$ -elements ie. choose a  $k$ -combination, then for each such choice arrange the elements in order (There are  $P_{k,k} = k!$  ways to do this). So we have

$$\#(\text{k-permutations}) = \#(\text{k combinations}) \cdot k!$$



# More notation

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The binomial coefficient,  $\binom{n}{k}$ ,  
is defined by

$$\binom{n}{k} = \frac{n!}{k!(n-k)!}$$

This is because

$$(a+b)^n = \sum_{k=0}^n \binom{n}{k} a^k b^{n-k}$$



The binomial theorem.

So

$$C_{k,n} = \binom{n}{k}$$

We will use  $\binom{n}{k}$  instead of  $C_{k,n}$ .

## The toast problem

When my wife and I were on a trip to Spain with our church we had 20 people at dinner. We all clinked (is this a genuine English word) our glasses. I dazzled my friends by telling how many clinks there were.

Now you can answer the question — how many?

# More problems

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1. How many 5 card poker hands are there?
2. How many 13 card bridge hands are there?

Lastly

## Proposition

$$\binom{n}{k} = \binom{n}{n-k}$$

## Proof Challenge

Find two proofs, one "combinatorial" and one algebraic.