## Math 410: Solution of Exam 1

**1.** Let  $A = \{\frac{p}{q} : p, q \in \mathbb{N} \text{ with } p < q\}$ . Find inf A, sup A and cl(A) and justify your answers.

A is bounded from below by 0. A contains the sequence  $\frac{1}{n}$  which converges to 0. Hence  $0 = \inf A$ . A is bounded from above by 1. A contains the sequence  $\frac{n}{n-1}$  which converges to 1. Hence  $1 = \sup A$ . claim: cl(A) = [0, 1]. We already found sequences which converge to 0 and 1. Let  $a \in (0, 1)$  and  $k \in \mathbb{N}$ . Then for x := ka there exists  $n_k \in \mathbb{N}_0$  with  $n_k < ka \le n_k + 1$ , hence  $a \in (\frac{n_k}{k}, \frac{n_k + 1}{n}]$ . Hence the sequence  $\frac{n_k}{k} \in A$ converges to a.

- **2.** Find  $\liminf_{k\to\infty} a_k$  and  $\limsup_{k\to\infty} a_k$  for the following sequences with  $k\in\mathbb{N}$ :
  - (a)  $a_k = (-k)^k + k^k + \frac{1}{k}$ For even k we have  $a_k = 2k^k + \frac{1}{k}$  which diverges to  $+\infty$  as  $k \to \infty$ . For odd k we have  $a_k = \frac{1}{k}$  which converges to 0 as  $k \to \infty$ . Hence  $\liminf_{k \to \infty} a_k = 0$  and  $\limsup_{k \to \infty} a_k = \infty$ .
  - **(b)**  $a_k = \left(-1 \frac{1}{k}\right)^k$

For even k we have  $a_k = (1 + \frac{1}{k})^k$  which converges to e as  $k \to \infty$ . For odd k we have  $a_k = -(1 + \frac{1}{k})^k$  which converges to -e as  $k \to \infty$ . Hence  $\liminf_{k \to \infty} a_k = -e$  and

 $\lim \sup a_k = e.$ 

(c)  $a_k = (k+1)^{1/3} - k^{1/3}$ . Hint: Use  $x^n - y^n = (x-y)(x^{n-1}y^0 + x^{n-2}y + \dots + x^0y^{n-1})$  with  $x = (k+1)^{1/3}$ ,  $y = k^{1/3}$ 

With n=3 we get  $x-y=\frac{x^3-y^3}{x^2+xy+y^2}$  which gives

$$a_k = (k+1)^{1/3} - k^{1/3} = \frac{1}{(k+1)^{2/3} + (k+1)^{1/3}k^{1/3} + k^{2/3}}$$

Since the denominator tends to  $\infty$  as  $k \to \infty$  we have that  $a_k \to 0$  as  $k \to \infty$ . Hence  $\liminf a_k = 0$  $\lim\sup a_k=0.$ 

- **3.** Find out for which  $x \in \mathbb{R}$  the series converges, and for which  $x \in \mathbb{R}$  the series diverges. Explain your reasoning. Be careful to discuss all possible cases for x, including the "borderline" cases.
  - (a)  $\sum_{k=1}^{\infty} \frac{x^k}{k^{1/2}2^k}$

We use the ratio test:  $\frac{|a_{k+1}|}{|a_k|} = \left(\frac{k}{k+1}\right)^{1/2} \frac{|x|}{2} = \left(1 + \frac{1}{k}\right)^{1/2} \frac{|x|}{2} \to \frac{|x|}{2} =: \rho$ . Now the ratio test gives:

- (i) The series converges for  $\rho < 1 \iff |x| < 2$ .
- (ii) The series diverges for  $\rho > 1 \iff |x| > 2$ .

It remains to investigate the borderline cases x = 2 and x = -2.

For x=2 we obtain the series  $\sum_{k=1}^{\infty} \frac{1}{k^{1/2}}$  which diverges to  $\infty$ . (Recall:  $\sum_{k=1}^{\infty} k^p$  converges for p<-1and diverges for  $p \geq -1$ .)

For x=-2 we obtain the series  $\sum_{k=1}^{\infty}(-1)^k\frac{1}{k^{1/2}}$  which is an alternating series with  $a_k\to 0$ , hence it

**(b)**  $\sum_{k=1}^{\infty} (1+k^4)^x$ .

 $\sum_{k=1}^{\infty} (1+k^4)^x. \quad \text{We compare } a_k := (1+k^4)^x > 0 \text{ with } b_k := k^{4x} > 0. \quad \text{Then } a_k = \left(\frac{1+k^4}{k^4}\right)^x = (k^{-4}+1)^x \to 1 \text{ as } k \to \infty. \quad \text{For } q \in (0,1) \text{ we have therefore } qb_k \le a_k \le q^{-1}b_k$ eventually. This gives:

- (i) For  $4x < -1 \iff x < -\frac{1}{4}$  the series  $\sum b_k$  converges, hence  $\sum a_k$  converges (ii) For  $4x \ge -1 \iff x \ge -\frac{1}{4}$  the series  $\sum b_k$  diverges to  $\infty$ , hence  $\sum a_k$  diverges to  $\infty$ .

(c) 
$$\sum_{k=1}^{\infty} \frac{(2k)!}{k^{2k}} x^k$$

We use the ratio test:  $\frac{|a_{k+1}|}{|a_k|} = \frac{(2k+2)(2k+1)}{k^2} |x| = \left(2 + \frac{2}{k}\right) \left(2 + \frac{1}{k}\right) |x| \to 4 |x| =: \rho \text{ as } k \to \infty.$  Now the ratio test gives:

(i) The series converges for  $\rho < 1 \iff |x| < \frac{1}{4}$ (ii) The series diverges for  $\rho > 1 \iff |x| > \frac{1}{4}$ . It remains to investigate the borderline cases  $x = \frac{1}{4}$  and  $x = -\frac{1}{4}$ . In this case we have  $|a_k| = \frac{(2k)!}{k^{2k}4^k} = \frac{(2k)!}{(2k)^{2k}} = \prod_{j=1}^{2k} \frac{j}{k} \le \left(\frac{1}{2}\right)^k 1^k$  since the first k factors are bounded by  $\frac{1}{2}$  and the remain-

ing factors are bounded by 1. Hence  $\sum |a_k|$  can be bounded from above by a convergent geometric series. Therefore the series  $\sum a_k$  converges absolutely for  $|x| = \frac{1}{4}$ .

- **4.** For the following statements give either a proof or a counterexample.
  - (a) For every sequence  $a_k \in \mathbb{R}$  there exists  $a \in \mathbb{R} \cup \{\infty, -\infty\}$  and a subsequence  $a_{n_k}$  with  $a_{n_k} \to a$  as  $k \to \infty$ . Proof: Case 1: the sequence  $a_k$  is unbounded. If it is not bounded above there exists a subsequence  $a_{n_k} \to \infty$ . If it is not bounded below there exists a subsequence  $a_{n_k} \to -\infty$ . Case 2: The sequence  $a_k$  is bounded. Now the Bolzano-Weierstrass theorem states that there exists a subsequence which converges to a limit  $a \in \mathbb{R}$ .
  - (b) If  $\sum_{k=1}^{\infty} a_k$  converges, then for any subsequence  $a_{n_k}$  the series  $\sum_{k=1}^{\infty} a_{n_k}$  converges. Counterexample:  $\sum_{k=1}^{\infty} (-1)^k \frac{1}{k}$  converges (alternating series with  $a_k \to 0$ ). However, for the subsequence  $n_k = 2k$  we get the series  $\sum_{k=1}^{\infty} a_{n_k} = \sum_{k=1}^{\infty} \frac{1}{2k} = \frac{1}{2} \sum_{k=1}^{\infty} \frac{1}{k}$  which diverges to  $\infty$ .
  - (c) If  $\sum_{k=1}^{\infty} a_k$  converges absolutely, then for any subsequence  $a_{n_k}$  the series  $\sum_{k=1}^{\infty} a_{n_k}$  converges. Proof: We have that  $\sum_{k=1}^{\infty} |a_k| =: S < \infty$  converges. The sequence  $s_k := \sum_{j=1}^{k} |a_{n_j}|$  is nondecreasing and bounded from above by S, hence  $s_k$  converges. This means that the series  $\sum_{k=1}^{\infty} |a_{n_k}|$  is absolutely convergent, hence it is convergent.